

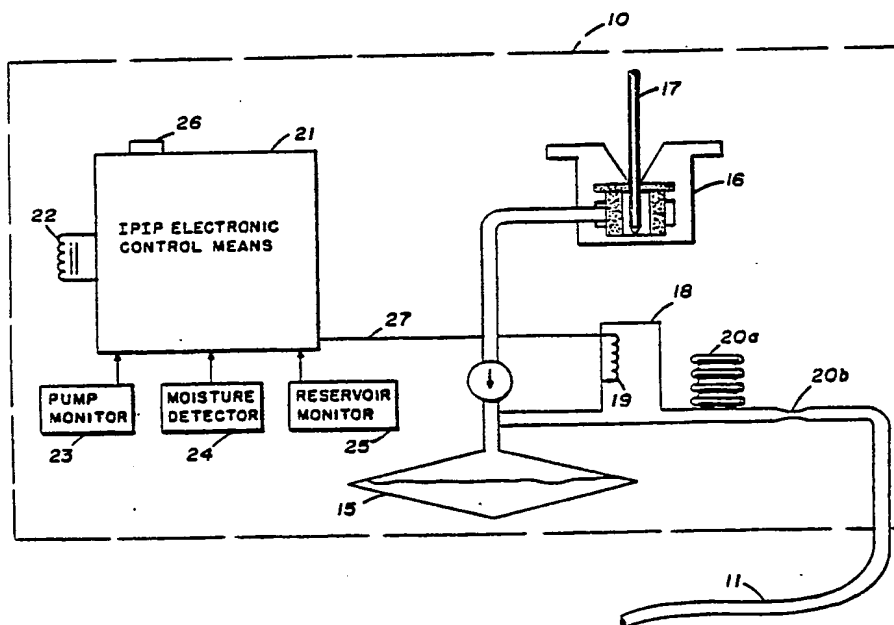
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification<sup>3</sup> :</b>  <b>A61M 7/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 84/ 03218</b>  <b>(43) International Publication Date:</b> 30 August 1984 (30.08.84)
<b>(21) International Application Number:</b> PCT/US83/01608 <b>(22) International Filing Date:</b> 17 October 1983 (17.10.83) <b>(31) Priority Application Number:</b> 466,494 <b>(32) Priority Date:</b> 15 February 1983 (15.02.83) <b>(33) Priority Country:</b> US  <b>(71) Applicant:</b> THE JOHNS HOPKINS UNIVERSITY [US/US]; Applied Physics Laboratory, Johns Hopkins Road, Laurel, MD 20707 (US).  <b>(72) Inventor:</b> FISCHHELL, Robert, E. ; 1027 McCeney Ave- nue, Silver Spring, MD 20901 (US).  <b>(74) Agents:</b> KENNARD, Wayne, M.; Pacesetter Systems, Inc., 12884 Bradley Avenue, Sylmar, CA 91342 (US) et al.		<b>(81) Designated States:</b> DE, FR (European patent), GB, JP.  <b>Published</b> <i>With international search report.</i>

**(54) Title:** PROGRAMMABLE CONTROL MEANS FOR PROVIDING SAFE AND CONTROLLED MEDICATION INFUSION

**(57) Abstract**

An implantable programmable infusion pump (IPIP) generally includes: a fluid reservoir (15) filled with selected medication; a pump (18) for causing a precise volumetric dosage of medication to be withdrawn from the reservoir (15) and delivered to the appropriate site within the body; and, a control means (21) for actuates the pump (18) in a safe and programmable manner. The control means (21) includes a microprocessor, a permanent memory containing a series of fixed software instructions, and a memory for storing prescription schedules, dosage limits and other data. The microprocessor actuates the pump (18) in accordance with programmable prescription parameters and dosage limits stored in the memory. A communication link allows the control means (21) to be remotely programmed. The control means (21) incorporates a running integral dosage limit and other safety features which prevent an inadvertent or intentional medication overdose. The control means (21) also monitors the pump (18) and fluid handling system and provides an alert if any improper or potentially unsafe operation is detected.



***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GA	Gabon	MR	Mauritania
AU	Australia	GB	United Kingdom	MW	Malawi
BB	Barbados	HU	Hungary	NL	Netherlands
BE	Belgium	IT	Italy	NO	Norway
BG	Bulgaria	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	LI	Liechtenstein	SN	Senegal
CH	Switzerland	LK	Sri Lanka	SU	Soviet Union
CM	Cameroon	LU	Luxembourg	TD	Chad
DE	Germany, Federal Republic of	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	US	United States of America
FI	Finland	ML	Mali		
FR	France				

-1-

DescriptionProgrammable Control Means for Providing  
Safe and Controlled Medication InfusionTechnical Field

5           The invention described herein was made in the performance of work under NASA Contract No. NDPR S-6383B and is subject to the provisions of Section 305 of the National Aeronautics and Space Act of 1958 (72 Stat. 435; 42 U.S.C. 2457).

10           The present invention pertains to a control means incorporating a microprocessor for actuating a pump in accordance with programmable prescription parameters and dosage limits. The disclosed control means incorporates running integral dosage limits and  
15           other safety features which prevent an inadvertent or intentional medication overdose.

Background Art

          Various techniques and devices have been suggested and are currently under study which  
20           addresses the problem of dispensing a drug or other medicative liquid into the living body. In these techniques and devices, however, redundant safety features and flexibility achieved by programming dosage inputs are rarely contemplated.

25           One liquid infusion device discussed in U.S. Patent No. 4,007,405 by Haerton et al comprises a controllable dosing arrangement which provides for human operator interaction. A syringe forces liquid



-2-

5 through a pressure valve into a supply reservoir and a bellows pump forces the drug from the reservoir through a flow limiter into the body. This device fails to address various safety problems such as leakage, excessive pumping, and excessive requests for drugs. No provision exists for detecting leaks in the device, for signalling malfunctions, for restricting the number of or quantity of drug doses, or for monitoring proper operation of the device.

10 Like Haerton et al, U.S. Patent No, 3,692,027 by Ellenwood teaches an implanted, self-powered drug dispenser having a bellows pump which is fed through and expels drug through valves, in particular one-way valves. The Ellenwood device is not programmable, it  
15 varies dosage by opening and closing portals or selecting a dose or medication from one of a plurality of pumps having different dosage volumes and/or different medications stored therein. Safety redundancy such as pressure integrity checks during filling, leakage problems, patient and doctor interaction  
20 with the dispenser, and dosage input programming are not considered.

#### Disclosure of Invention

25 The present application describes a programmable control means for actuating a pump thereby causing medication to be infused in accordance with programmable prescription parameters and dosage limits. The implantable programmable infusion pump (IPIP) generally contains: (1) a fluid reservoir filled with a  
30 selected medication which is refillable using a hypodermic needle; (2) a catheter for channeling



-3-

medication dosages to the proper site within the patient's body; (3) a pump for causing a precise volumetric dosage of medication to be withdrawn from the reservoir and to be delivered via the catheter to the appropriate site within the patient's body with each pump actuation; and (4) a control means for actuating the pump in a proper and programmable manner.

The control means contains a transmitter/receiver which enables it to be remotely programmed by a hand held patient programming unit (PPU) and a medication programming unit (MPU). The PPU is operated by the patient and allows the patient to self-medicate. The MPU is operated by the physician and enables him to program basal and supplemental prescription schedules and set dosage and control limits. The physician using the MPU programs a basal delivery schedule, several supplemental prescription schedules, and various dosage limits and control limits. The PPU is limited in its programming capability and a patient can merely choose to deliver a full or half basal rate, select one of the several pre-programmed supplemental prescription schedules, inhibit pump activity, or countermand previous directives.

This drug infusion system provides the patient with the flexibility of increasing or decreasing dosages in accordance with physiological or activity levels. For example, if the pump delivers insulin, a patient would wish to increase dosages immediately after consuming a meal, so that a high post-prandial insulin profile is obtained. However, this



-4-

flexibility of dosage programming by the physician and self-medication by the patient creates certain safety considerations. Since the implantable programmable infusion pump (IPIP) is remotely programmable by both the patient and physician, and since it has a potential of delivering a lethal dosage of medication, the controller must be able to accurately control medication delivery and it must have safety features to prevent inadvertent or intentional misuse.

Therefore, a first object is to provide a basal delivery means for actuating the pump in accordance with a programmed basal prescription schedule. Only the physician using the MPU has the capability of programming the basal rate. The patient using the PPU can require a half or full basal delivery, or can inhibit pump actuation for a certain set period of time. The physician can program patient medication constraints which can further limit or remove entirely the patient's ability to modify the basal prescription schedule.

A second object is to provide a supplemental prescription schedule delivery means for actuating the pump in accordance with at least one supplemental prescription schedule. Again, only the physician can program the allowable supplemental prescription schedule. The patient using the PPU can merely choose one of the supplemental prescription schedules previously programmed by the physician. The supplemental prescription delivery means also double checks the supplemental prescription schedule programmed by the physician to assure that physician's programming



-5-

errors do not inadvertently produce an inappropriate supplemental prescription schedule.

5 A third objective of the present invention is to provide a means for inhibiting pump actuations if a certain dosage rate limit is exceeded. A running integral dosage limit means sums the number of pump actuations which occur during the most recent shifting time window of a pre-selected length and inhibits pump actuation when such sum exceeds a programmable running integral dosage limit. The preferred embodiment utilizes both a 3-hour shifting window of time during which the pump count cannot exceed a 3-hour running integral dosage limit; and, a 24-hour shifting window of time during which the pump count cannot exceed a 24-hour running integral dosage limit. The 3-hour and 24-hour running integral dosage limits are programmable by the physician in accordance with a particular patient's physiology.

20 A fourth object is to provide a hardwired digital integrating rate limiter to back up the running integral dosage limiter means. The digital integrating rate limiter will inhibit pump actuation when a maximum dosage envelope is exceeded. The digital integrating rate limiter consists of an updown counter, a separate auxiliary clock, and a means to count actual pump actuations. The digital integrating rate limiter allows a maximum basal rate as well as a maximum delivery of medication at any particular time. Although, the digital integrating rate limiter is utilized in the preferred embodiment as a backup system, in certain applications it could function independently.



-6-

A fifth object of the invention is to provide a "double handshake" means to assure that spurious or interfering signals are prevented from modifying prescription commands. After the transmitter/  
5 receiver detects a transmitted code, the controller checks for a valid 8-bit selection code. If a valid selection code is received, the controller uses the transmitter/receiver to retransmit the selection code back to the MPU or PPU. The MPU or PPU will verify  
10 that the selection code received is the one it had sent and transmits an execution code. Only if a valid and timely 8-bit execution code is received will the controller proceed to deliver medication in accordance with the selection code. This method of  
15 obtaining a secure communication is known to those versed in the art as a "double handshake" communication means.

A sixth object of the invention is to record system utilization and performance data which enables  
20 the physician to determine the effectiveness of the patient's self-medication and evaluate pump performance. The controller includes a random access memory (RAM) which is used to store utilization and performance data. The controller records the number of pump  
25 actuations, the number of times a particular selection code was used to assign a supplemental prescription schedule or request half or full basal delivery or inhibit pump actuation or countermand current directives, and the number of unverifiable or  
30 inappropriate selection codes received by the controller. The controller also has several ports which allows it to receive information relative to the performance of the pump and the fluid handling system.





-7-

In the preferred embodiment, the controller connects to a moisture detector, a reservoir full indicator, and a pump actuation or fluid flow monitor. The controller records readouts from these monitors on a periodic basis so the physician can determine possible system malfunctions.

A seventh object of the invention is to detect system malfunctions and to alert the patient when a system malfunction or anomaly occurs. As mentioned previously, the controller receives information from chamber, reservoir and pump monitors. A software anomaly alerting means provides a monitor report at periodic intervals. The monitor report indicates: (1) detection of moisture; (2) whether the reservoir is empty or too full; (3) whether pump actuation commands from the basal delivery means and supplemental prescription delivery means are greater than or less than the actual pump actuation count; or (4) whether prescription data stored in RAM has been altered - i.e., by a cosmic ray particle, any other copuscular radiation or power transient. If two consecutive monitor reports show the same anomaly, the controller will actuate an alarm means and alert the patient. In the preferred embodiment, the alarm means provides the patient with a noticeable subcutaneous electrical stimulation (tickle) or audio alarm.

An eighth object is to provide a software means for deterring operator error. The controller checks supplemental prescription schedules for inadvertent programming errors made by the physician before each supplemental prescription schedule is delivered by



-8-

the delivery means. The control alerts the patient if unusual request is made. Unusual requests include: (1) a request to deliver half basal rate, (2) a request to return to a full basal rate delivery; 5 (3) a request for a one-hour pump inhibition, or (4) a request to countermand current directives. If an unusual request is selected, the controller will actuate the alarm means and alert the patient. The physician using the MPU can inhibit (i.e., disable) 10 this safety feature if it proves unnecessary for a particular patient. The controller can also be programmed by the MPU to ignore any one or several of the PPU commands. This feature enables the physician to restrict the patient's ability to self-medicate.

15 A ninth object is to provide a software controller which includes: a microprocessor; a random access memory (RAM), or its equivalent, for storing prescription parameters, prescription limits, and utilization and performance data; and, a read-only 20 memory (ROM), or equivalent, for storing in fixed form a list of software instructions which enables the microprocessor to provide the above discussed medication delivery and safety features.

25 These objects, as well as further objects and advantages will become apparent after reading the ensuing description of a non-limiting illustrative embodiment and reviewing the accompanying drawings. These dosage delivery and limiting features may be incorporated in an implantable or external infusion 30 pump system.



-9-

Brief Description of Drawings

Figure 1 is a block diagram of the invented medication infusion system;

5 Figure 2 is a block diagram illustrating the electronic control means, pump, and fluid handling system;

Figure 3 illustrates a functional block diagram of the electronic control means;

10 Figure 4 is a system block diagram showing the preferred IPIP electrical control means;

Figure 5 is a block diagrammatic view of the IPIP controller illustrating the connection between the microprocessor, the random access memory (RAM) and the read only memory (ROM);

15 Figure 6 is a table showing a typical RAM allocation schedule as taught by the invention;

Figure 7 is an outline of the controller's delivery interrupt routine and standby state routine;

20 Figure 8 is an outline of the controller's delivery routine;

Figures 9 and 10 show a detailed flow chart of the idle and standby state routines;

Figures 11 through 17 show a detailed flow chart of the delivery routine with Figures 11 and 12



-10-

showing the supplemental delivery means, Figure 13 showing the basal delivery means, Figures 14 through 16 showing the housekeeping subroutine, and Figure 17 showing an additional housekeeping segment;

5           Figures 18 through 20 show a detailed flow chart of the interrupt subroutine;

Figure 21 is a functional illustration of the running integral dosage limiting means inhibiting an inappropriate dosage delivery;

10           Figure 22 is a block diagrammatic view of the digital integrating rate limiter.

Best Mode for Carrying Out the Invention

Figure 1 is a block diagrammatic view of the overall programmable implantable medication system (PIMS) which generally consists of: an implantable programmable infusion pump (IPIP) 10 which is im-  
15           planted in a patient and provides a programmable and controlled release of medication (a catheter 11 allows the medication to be delivered to the appropriate site within the patient's body); a patient  
20           programming unit (PPU) 12 which is a hand held device used by the patient to communicate with the IPIP 10 for self medication; and, a medication programming unit (MPU) 13 which is used by the physician to  
25           program the IPIP with prescription parameters and dosage control limits. In this interactive medication infusion system, the physician can use the MPU to program a medication delivery schedule and the patient can use the PPU to fine tune the prescription.

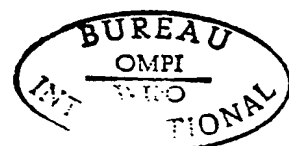


-11-

to meet physiological needs. If the IPIP is delivering insulin, the PPU can be used to request supplemental medication delivery corresponding to food consumption or activity levels. A communication head 14 in both the PPU 12 and MPU 13 serves as transmitting and receiving antenna. The MPU is used by the physician to: (1) program the IPIP to deliver a basal prescription profile and record up to eight supplemental prescription profiles in the IPIP's memory; (2) set the 3-hour and 24-hour running integral dosage limits; (3) program the IPIP to ignore certain medication selections that the patient might send via the PPU; (4) set alarm criteria and timing constants; (5) check the chamber moisture and reservoir fill monitors; and (6) retrieve utilization and system performance records from the IPIP's memory.

Unlike the MPU 13, the PPU 12 is limited in its capacity to program the IPIP 10. The PPU 12 is used by the patient for self-medication, with the patient's ability to request medication dosages constrained to prevent inadvertent or intentional misuse. The PPU 12 can be used by the patient to: (1) request delivery of one of eight supplemental prescription schedules which were pre-programmed by the physician; (2) select half or full rate delivery of the pre-programmed basal prescription schedule; (3) inhibit pump operation for 1-hour periods; and, (4) countermand the current medication delivery directive.

Figure 2 shows a block diagram of the overall implantable programmable infusion pump (IPIP) 10.



-12-

The IPIP 10 generally comprises: (1) a medication reservoir 15 which stores selected medication to be delivered by the pump; (2) a refill entry port 16 which allows the physician to refill the implanted device using a hypodermic needle 17; (3) a pulsatile pump 18 which provides a single pulse of medication each time solenoid coil 19 is energized with an appropriate current pulse; (4) an accumulator 20a and a flow restrictor 20b which, working together, provide smoothing of the medication flow; (5) a catheter 11 for delivering medication to the appropriate site within the patient's body; and, (6) an electronic control means 21 which has the principle function of actuating the pulsatile pump 18 according to prescription schedules stored in the IPIP's memory.

The block representing the electronic control means 21 (Figure 2) contains several ports which enables it to receive prescription parameters, monitor the fluid system, alert the patient to malfunctions, and actuate the pump. A pick-up coil head 22 enables the electronic control means to receive prescription programs and command data from the MPU or PPU; it also enables the electronic control means to handshake with the PPU or MPU and transmit utilization and system performance data. Three additional ports enable the electronic control means to monitor the fluid system: (1) a pump monitor 23 monitors actual pump actuation and hence fluid flow; (2) at least one moisture detector 24 monitors moisture within the IPIP; (3) a reservoir monitor 25 tells the chamber if the reservoir is filled or overfilled. The electronic control means also has a port allowing it to actuate an alarm means 26 which alerts the



-13-

patient if a system failure or operational anomaly has occurred. (U.S. Patent Application entitled "Apparatus for Detecting at Least one Predetermined Condition and Providing an Informational Signal in Response Thereto in a Medication Infusion System", Serial No. 439,139, filed November 4, 1982, by R. E. Fischell, describes several monitor and alert circuits which could be used - this application is incorporated herein by reference.) A final port 27 enables the electronic control means to actuate the pump solenoid 19 in accordance with a programmed prescription schedule.

Figure 3 illustrates a simplified functional block diagram of the IPIP electronic control means 21. A command signal from the PPU or MPU is detected by a pick-up coil 22 and further processed by the command receiver and telemetry transmitter 28 producing an 8-bit code. The 8-bit code enters the command decoding means 29 which: (1) verifies that the 8-bit signal is a valid selection code; (2) verifies that the selection code is active and is appropriate for delivery (this feature assures that the patient or physician is alerted if an inadvertent operator error is made); (3) handshakes with the PPU or MPU by repeating the selection code and waiting for a valid execution code from the PPU or MPU (this feature reduces the likelihood that a spurious or interfering signal will mimic a valid prescription delivery command); (4) assigns a basal delivery schedule to the basal delivery means 30 and assigns a supplemental prescription schedule to the supplemental prescription delivery means 31; (5) stores in the IPIP memory a physician programmed basal



-14-

prescription schedule and up to eight supplemental prescription schedules; and, (6) orders system utilization and performance data to be retrieved from the recording means and transmitted to the PPU.

5       The basal delivery means 30 is assigned a basal schedule by the command decoding means 29. The basal delivery means 30 actuates the pump in accordance with a programmed basal prescription schedule. The patient using the PPU has the option of selecting  
10 either a half or full delivery of the basal schedule.

      The supplemental prescription delivery means 31 first verifies that a valid supplemental prescription schedule has been assigned. (This safety feature attempts to correct certain programming errors made  
15 by the physician.) The supplemental prescription delivery means 31 will actuate the pump in accordance with the patient's selected supplemental prescription schedule.

      The running integral rate limiting means 32 is  
20 the principle safety feature contained within the electronic control means. The running integral rate limit means 32 prevents the control means from delivering a combination of basal and supplemental prescription schedules requested by the patient or  
25 physician which result in a dosage which exceeds a certain limit during a 3-hour and a 24-hour sliding window of time.

      The data recording means 33 gathers utilization and system performance data which can be transmitted  
30 to the MPU. The data recording means 33 records all





-15-

interactions between the IPIP and the patient controlled PPU and monitors the functioning of the fluid handling system. The data recording means 33 monitors the fluid handling system through the pump actuation monitor 23, the chamber moisture monitor 24, and the reservoir monitor 25.

An anomaly alert means 34, reviews the fluid handling system and the electronic systems performance each quarter-hour period and provides a monitor report. If two consecutive monitor reports indicate the same system malfunction, the anomaly alert means 34 actuates the alarm 26 thereby notifying the patient of a potential system malfunction.

The above functional means can be provided by a hardware electronic circuit or by a microprocessor directed by a software routine. The remainder of this application describes the preferred embodiment which uses a microprocessor directed by a software means to provide the above-described functions.

#### Preferred Software Controlled Embodiment

Figure 4 is an electrical system block diagram of the preferred IPIP control means 21. The diagram generally shows: a controller 35 which includes a microprocessor; a transmitter/receiver 36; a clock generating means 37; a voltage quadrupler 38; a driver circuit 39; an alarm generator 40; a digital integrating rate limiter 41; a buffer 42; and, a battery 43. The primary purpose of the control means 21, as stated previously, is to actuate pump 18. The second purpose is to actuate the alarm means 26 and



-16-

thereby to alert the patient if there is a system or operator anomaly.

The driver 39 is an energy storage device (a capacitor is used in the preferred embodiment) which stores sufficient energy to actuate pump 18. The voltage quadrupler 38 steps up battery voltage and over a period of approximately 10 seconds stores sufficient energy in the driver 39 to actuate the pump 18. A pump prime request ( $\overline{PPR}$ ) is sent from the controller 35 which directs the voltage quadrupler 38 to charge the driver circuit 39. When sufficient energy is stored in the driver 39, the controller 35 sends the pump trigger command (PT) causing the driver to release sufficient power along line 27 to actuate pump 18.

The controller 35 also provides commands  $\overline{AR}$ , AAO, AA1, AA2 and AA3 which sets the alarm amplitude and actuates the alarm generator 40. The controller's alarm request command ( $\overline{AR}$ ) causes the voltage quadrupler 38 to provide voltage to the alarm generator 40. The alarm generator 40 then delivers the appropriate alarm signal to the alarm means 26. Controller commands AAO through AA3 tell the alarm generator 40 what amplitude level to apply to the alarm means 26. In the preferred embodiment, the physician can program appropriate alarm amplitudes. (It will be noted that it is within the contemplation of this invention to also use an audio or any equivalent alarm means.)

The controller 35 uses the transmitter/receiver 36 to communicate with the outside world (i.e.,



-17-

communicate with PPU or MPU). The  $\overline{RTS}$  command tells the transmitter/receiver 36 whether it is to act in the transmitter or receiver mode. The serial data output line (SDO) is used by the controller to send a serial data train to the transmitter to be transmitted to the PPU or MPU. A serial data input line (SDI) is used by the controller 35 to receive prescription data or commands sent by the PPU or MPU.

The clock generator 37 provides several timing signals: (1) a 1600 Hz timing signal provides timing for the controller's microprocessor (which is a CMOS 1802 in the preferred embodiment); (2) a 3200 Hz clock signal is generated when the communication link has been established with the PPU or MPU. A carrier recognition signal (CR) is sent from the transmitter/receiver 36 when a communication link is established and tells the clock generator 37 to generate the 3200 Hz clock signal. The 3200 Hz clock signal is used by the UART (see Figure 5) which converts serial data into parallel data.

A digital integrating rate limiter 41 contains a separate timing oscillator (not shown) and an updown counter (not shown) and inhibits pump priming activity if the cumulative pump count exceeds a certain value in a certain specified time period.

A run command 43 is issued by the transmitter/receiver 36 when a selection code is received which transforms the controller from the idle to the standby state. This command will generally be sent after the IPIP has been implanted in the patient. The idle state will be discussed in detail later in this



-18-

application and enables the IPIP to be stored for long periods without depleting battery capacity. In the idle state, the controller 35 is inactive and the clock generator 37 does not produce the 1600 Hz timing signal. The command to transfer from the idle to the standby state is processed by the receiver/transmitter 36 which produces the run command 43. The run command 43 turns on the 1600 Hz clock generator and resets the microprocessor and UART (see Figure 5) contained in the controller 35.

Figure 5 is a block diagram of the major hardware components found in the controller 35. The controller generally comprises: a microprocessor 44 (in the preferred embodiment a CMOS 1802 microprocessor is used); an 8-bit parallel data bus 45 which carries data into and out of the microprocessor 44; a read-only memory (ROM) 46 containing the fixed software instructions; a random access memory (RAM) 47 for storing the programmable prescription parameters, prescription limits, and utilization and performance data; a UART (Universal asynchronous receiver/transmitter) 48 for converting serial data received from the transmitter/receiver 36 into parallel data which can then be put on the 8-bit parallel data bus 45 or for performing the inverse operation; a multiplexer 49 which can place identification, counter, or monitor information from the pump monitor, chamber moisture monitor, or receiver fill monitor (see Figure 4) on the data bus 45; an identifier number generator 50 which generates a unique code number for each IPIP; a counter 51 associated with the pump monitor, to calculate the number of times pump actuation actually occurred (this counter is reset every 15 minutes); a



-19-

4-bit register 52 which stores alarm amplitude data and a 2-bit register 53 to store the alarm request ( $\overline{AR}$ ) and pump prime request ( $\overline{PPR}$ ) commands.

5 The RAM 47 is a memory device which is used to record prescription parameters, prescription limits, control data, and utilization and performance data. The table in Figure 6 shows the type of data stored in the controller's RAM. Each data category will be discussed as we proceed in the application. The  
10 microprocessor 44 can access this information via the 8-bit parallel bus 45. The system can use the 8-bit parallel bus 45 to retrieve data from the pump counter, reservoir monitor or chamber monitor. The controller can send a signal via the 8-bit bus 45 to  
15 registers 52 or 53 to adjust the alarm amplitude or to activate the pump. The UART 48 converts the transmitter/receiver serial data format into a parallel format compatible with the requirements of the microprocessor 44. In this way the microprocess-  
20 or 44 can communicate via the transmitter/receiver 36 with the MPU and PPU to receive prescription parameters and transmit utilization data.

The ROM 46 shown in Figure 5 contains a series of fixed software instructions. These instructions  
25 enable the microprocessor 44 to actuate the pump in accordance with basal and supplemental prescription schedules, alert the patient when a system or operational anomaly is detected, record utilization data, and provide the running integral dosage limiting and  
30 other safety features needed to prevent an accidental overdose. Figures 7 and 8 contain a summary of the software routines and subroutines permanently fixed



-20-

in ROM 46. Figures 9 through 20 contain a detailed flow chart describing the software stored in the ROM 46.

Functional Outline of Software Controller Means

5           a. Interrupt subroutine and standby routine  
              functional summary.

10           As mentioned previously, the preferred  
embodiment described in this application contains a  
software controlled version of the IPIP. Figure 7 is  
15           a functional summary of the delivery interrupt sub-  
routine and the standby state routine. These soft-  
ware routines enable the controller to perform the  
command decoder means discussed earlier in this  
application and shown as block 318 in Figure 5 of the  
parent case (U.S. Application Serial No. 034,155,  
"Implantable, Programmable Medication Infusion  
System", filed April 27, 1979, by R.E. Fischell.)  
The standby state routine enables the controller to  
read into RAM prescription parameters and command  
20           data and to record and transmit utilization and  
performance data. (In the above-referenced U.S.  
parent case, these functions are distributed among  
the following elements: 336, 334 and 320, see Figure  
5).

25           The delivery interrupt subroutine 54 is actuated  
when the receiver/transmitter conveys an 8-bit code  
to the UART (see Figure 5). The interrupt subroutine  
exits from the delivery routine (to be discussed  
later) at 55 and first tests for a valid delivery  
30           selection code. The controller at block 56 tests for



-21-

an 8-bit selection code corresponding to the following commands: (1) select one of the pre-programmed supplemental prescription schedules; (2) deliver the basal prescription at full or half rates; (3) countermand current directives; (4) inhibit pump actuation for a one-hour period; or, (5) transfer to the standby state.

At block 57 the controller performs various tests to determine if the selection code is active and deliverable. As mentioned previously, the physician can prohibit the patient's use of certain selection codes. One element of the prescription parameter allows the physician to deactivate certain delivery state selection codes. The controller also reviews the selection code to assess if its delivery is appropriate and/or possible.

If the selection code is valid, active and deliverable, the controller confirms receipt of the code and retransmits it back to MPU or PPU. If the MPU or PPU verifies the selection code, it then sends an execution code to the IPIP. Unless the controller then receives a valid execution code within a specified interval, it will not carry out the mission implied by the selection code. This safety feature shown in block 58 assures that the IPIP will not be accidentally programmed by spurious or interfering signals.

The controller now asks if the selection code constitutes an unusual request. (An unusual request is one which would modify basal rate, inhibit pump operation, or countermand previous directives). If



-22-

it does, the patient alarm may be activated. This safety feature shown in block 59 alerts the patient to the fact that he has made an unusual request and that he should review his intent to make that request. The controller will now at block 60 execute the selection code and assign a supplemental prescription schedule to delivery means when appropriate. The controller now returns to the delivery state at 61 unless the selection code called for transference to the standby state. (Only the MPU can transmit the selection code which requests that the controller enter the standby state.)

Once in the standby state, the controller waits at block 62 until it receives an appropriate standby state selection code. The standby state selection codes are only transmitted by the MPU and correspond to commands to: (1) transfer the controller back to the delivery state; (2) load the controller's RAM with prescription parameters and limits; (3) read utilization and performance data from the controller's RAM; (4) check the moisture and fill indicators; or, (5) exercise alarm at a specified level. The controller at block 62 verifies receipt of a valid selection code and at block 63 continues to provide double handshaking to assure that the selection code has been properly received. (i.e., once the selection code is verified, the controller retransmits it back to the PPU or MPU. The PPU or MPU verifies the code and must transmit a timely and valid execution code.)

After verification and handshaking is completed, the controller, depending on the particular selection





-23-

code, can branch into several subroutines. At block 64, the controller exercises a prescription parameter load subroutine (see Figure 10 for greater detail). At block 65 the controller performs a data recovery  
5 subroutine (see Figure 10 for greater detail). Alternatively, the controller could provide monitor reports 66, exercise the alarm, or return to the delivery state 68.

b. Delivery state subroutine functional summary

10 Figure 8 is a functional summary of delivery state routine 69 which allows the controller to functionally provide the basal delivery means, the supplemental prescription delivery means, the anomaly alert means, and the 3 and 24-hour running integral  
15 dosage limit means. (In the above-mentioned U.S. parent case the supplemental prescription delivery means is performed by element 322; the basal delivery means by element 320; the anomaly detecting means by a combination of elements 318 and 328; and the 24 and  
20 3-hour running integral dosage limits by elements 322, 326 and 324 - see Figure 5).

An excursion through the delivery state routine is completed once per minute regardless of the specific path taken around the loop. As we will  
25 discuss later, dummy delay steps are added to shorter branches so that the overall loop time is independent of path. The supplemental prescription delivery means 70 is provided by two subroutines called Executor A and Executor B (shown in greater detail in  
30 Figure 11 and 12, respectively). The Executor A subroutine 71 first determines if a supplemental



-24-

schedule has been assigned to it for execution; if one has, it then tests the assigned supplemental prescription schedule for anomalies resulting from physician/programming error. These tests (which will be discussed in detail, later in this application) prevent an inadvertent overdose or the prolonged assignment of the executor to a non-executable schedule. If a pump actuation is appropriate, the controller will perform the quarter-hour running integral limit test means 73. This safety feature will be discussed in detail, but at this point it is sufficient to say that it prevents pump actuation if the dosage limit for a 3-hour or 24-hour period is reached. If the limit is not reached, the controller directs the voltage quadrupler to charge the driver, thus priming the pump; the controller then triggers the driver to actuate the pump.

The Executor B subroutine 72 provides the same functions as the Executor A subroutine. Consequently, IPIP can accomplish the simultaneous execution of as many as two supplemental schedules. The Executor B subroutine also contains a quarter-hour running integral limit means 74 which prevents pump actuation if the 3-hour or 24-hour limit is reached.

Proceeding around the delivery state loop, the controller can take one of four possible branches depending on the minute count. The "minute count" specifies the number of minutes which have elapsed in the current in quarter-hour periods.

At the 7th minute, the controller provides the basal delivery function 75. (Shown in greater detail



-25-

in Figure 13.) The controller first determines if the PPU requests a half or full basal delivery. If the basal program calls for pump actuation, the controller again provides the quarter-hour running integral limit means 76 and determines if pump actuation would cause excessive dosage in 3 or 24-hour shifting window of time. If the limit is not reached, and if full-basal delivery mode has been established, the controller primes and triggers the pump unless pump inhibition is in effect.

At the 13th minute in the quarter-hour the controller evaluates the integrity of the prescription data stored in the RAM. This evaluation 78 will be subsequently used in formulating the monitor report and will indicate whether or not an alpha particle or transient has altered the stored prescription.

At the 14th (last) minute of the quarter-hour, the controller enters into a housekeeping subroutine 79 (shown in detail in Figures 14 through 16). (The housekeeping subroutine will be discussed in detail later in this application.) However, at this point it is important to point out two features provided by this subroutine. The housekeeping subroutine calculates SUM 11, SUM 23, and a quarter-hour limit which are part of the 3 and 24-hour running integral limit means 80. "SUM 11" is the number of pump actuation commands issued in the eleven preceding quarterhours; "SUM 23" is the number of pump actuation commands issued in the twenty-three preceding hours. (The calculation of SUM 11, SUM 23 and the quarterhour limit will be discussed in detail later in this



-26-

application.) The housekeeping subroutine also provides a monitor report for spotting system malfunctions. An anomaly reporting means 81 generates a report and may alarm the patient if a system malfunction has been confirmed.

Regardless of the minute count, all the branches in the delivery state loop converge on the housekeeping and timing segment (block 82). This segment of the software increments and resets various counters and provides trimming and other timing delays. The controller has now completed one cycle through the delivery state loop 83. The controller will continue to recycle - once per minute - through the delivery state loop 83 and actuate the pump as required by the basal schedule or any assigned supplemental prescription schedules.

#### Idle and Standby State Routine

In the preferred embodiment the controller can operate in three states: (1) an idle state, which is used to conserve power during shipping or storage and to reset the controller; (2) a standby state during which prescription profiles and commands can be stored in the controller's RAM, or operational and other data can be read from the controller's RAM; and, (3) a delivery state during which the controller activates the pump in accordance with the basal and selected supplemental prescription profiles. When the controller is first turned on, the power-on transient will cause the controller to enter either the idle or standby state, see element 101 and 102 in Figure 9. (The controller is turned "on" when the



-27-

battery is connected to the controller and the unit is sealed).

5 In the idle state, the controller is reset (block 103) to establish initial conditions and then waits (block 104) to receive a command to enter the standby state (the command being processed by the transmitter/receiver 36 (see Figure 4) and not included as part of the controller). While in the idle state, the controller circuit is dormant to conserve power and the clock pulses to the controller are suppressed. The controller can be placed in the idle state at any time during its operation by receiving a "run-to-idle" command. This entry 105 into the idle state is made by the Interrupt Subroutine which will be described in detail later in this application. 15 The controller can be placed in a standby mode: (1) entering 102 when the power is first turned "on"; (2) entering after receipt of a command to transfer from "idle-to-standby" 104; or, (3) enter 106 after 20 receiving a command from the Interrupt Subroutine to enter the standby state. (The Interrupt Subroutine will be discussed in detail later in this application).

25 a. Controller receives and verifies standby state selection code

30 When the controller enters the standby state, it is first prepared at 107 and the microprocessor's registers are loaded with certain initial conditions. After the controller is initialized it waits at 108 to receive a one-byte "selection code" transmitted by the physician's MPU. The selection code is then



-28-

tested (block 109) to see if it is a valid standby state selection code. If the selection code is not valid, notice is sent at (block 110) to the MPU to alert the physician that an invalid selection code was received. This feature and the other features discussed in this paragraph verifies the selection code so that an error on the part of the physician or an interfering or transient signal will not produce an invalid or inappropriate selection code. Alternatively, if a valid selection code was received, the controller acknowledges (block 111) receipt of the particular selection code by retransmitting that selection code back to the MPU via the communication means. The only 8-bit selection codes which are valid for the standby state are those which call for: (1) transfer of controller operation from the standby state to the delivery state; (2) loading of information into the controller's RAM (either in a short 6-byte format for timing purposes, or a long 384-byte format for a complete set of new prescription parameters); (3) reading of information back from the controller's RAM (either on 16-byte format which includes timing and other limited data, a 421-byte format which includes the complete set of prescription parameters, or a 1029-byte format which includes not only the complete set of prescription parameters, but all utilization data as well; (4) reporting chamber and reservoir status (i.e., moisture detectors and the reservoir fill indicators); or, (5) exercising the alarm at a specified amplitude level.



-29-

b. Double handshaking means

After the controller sends an acknowledgment command to the physician's MPU via the communications means, the MPU will send an 8-bit execution command.

5 (The MPU first verifies that the selection code that it received from the IPIP was the selection code it had previously transmitted.) The MPU must then send the 8-bit execution code within a certain prescribed time period in order to initiate the action specified

10 by the preceding selection code. The controller (block 112) tests to see if the execution code was received within the prescribed time limit. If the execution code was not received within the time limit, the failure is recorded in the Controller's

15 RAM at block 113 and appropriate notice is sent (block 114) to the MPU indicating that the execution code was not timely received. If, however, the execution code was timely received, the execution signal is now tested at block 115 to see if it is

20 valid. (i.e., to see if the execution signal has the correct 8-bit code.) If the execution code is not valid, the failure is recorded (block 116) and notice of such failure is sent (block 117) to the MPU. As

25 mentioned earlier, the above handshaking is a critical safety feature for an interactive infusion system in which both the physician and patient can influence medication delivery patterns.

c. Controller provides status report

If the execution code is valid and received

30 within the prescribed time limit, the program advances to block 118. At block 118 the controller



-30-

asks whether the selection code requests a status report (i.e., a report indicating whether there is moisture in the electronics or freon chambers, or whether the reservoir is full, or overfilled). If a status report is requested, the controller will at (block 119) activate the communication means and transmit that status to the MPU. If a status report was not requested, the controller responds by transmitting to the MPU a confirmation code which is identical to the execution code (block 120).

d. Controller sets alarm controls

Turning to Figure 10, we continue to block 123 which determines whether the selection code requests an exercise of the IPIP alarm means. If the selection code requests that the alarm be exercised, the controller proceeds to block 124 and energizes the alarm at the specified amplitude. If, however, the selection code does not request an alarm actuation, we proceed to block 125 which asks whether the selection code constitutes a request to transfer the controller to the delivery state. (As mentioned previously, in the delivery state the controller will actuate the pump means in accordance with the basal and supplemental prescription profiles selected from the controller's RAM.) If the selection code calls for a transfer to the delivery state, we exit from the standby state routine at 145; if not, we proceed to the prescription parameter load subroutine 126.





-31-

e. Prescription parameter load subroutine

The prescription parameter load subroutine 126 allows the physician to record in the controller's RAM up to eight supplemental and one basal prescription schedule, set prescription and control limits, and record timing data. If the selection code calls at block 127 for 6-bytes of data to be loaded, the controller waits (block 128) for the first data byte to be received and then stores (block 129) that data byte into the controller's RAM. At block 130 we count the number of data bytes received from the MPU and exit the data gathering loop when all six bytes have been received and stored. As specified previously, the 6-byte load contains timing information which allows the IPIP schedule to be coordinated with the actual day cycle. If, however, the selection code does not request a 6-byte data load, we proceed to determine at block 131 if the selection code constitutes a request to load 384 bytes into the controller's RAM. As mentioned previously, the 384-byte load contains prescription profile and control information. If such a load is requested the controller again waits at block 132 until a data byte is transmitted by the MPU and stores at block 133 that data byte in the controller's RAM. The data gathering loop continues at block 134 until all 384-bytes have been received and recorded. When the data bytes have been recorded we proceed to deploy at block 135 certain bytes of the data in the micro-processor registers and transmit at block 136 a completion code to the MPU to alert the physician that the new time data, and prescription parameters have been stored in the controller's RAM.



-32-

f. Data recovery subroutine

If the selection code does not require data to be stored in the controller's RAM we enter the data recovery subroutine 146 which requires the controller to read data from its RAM and transmit such data to the MPU. At block 137 the controller is directed to retrieve selected data from the microprocessor registers and store that data in RAM. The controller then determines at 138 if the selection code requests the transmittal of 16-bytes (these are the bytes relating to IPIP timing) and if so the controller will select and transmit at block 139 the 16-bytes via the communication means. If, however, prescription profile and control data is to be retrieved (block 141 in Figure 10) we store (block 140) additional register data into the RAM and send at block 142 the 421-bytes to the MPU. If, however, the selection code is tested at 143 and requests a dump of the entire RAM (1029-bytes) the data is collected and transmitted at block 144 to the MPU. The 1029-byte dump of the RAM not only contains the prescription profile and control data, but pump and control system operational history. After the prescription parameters and operational history is retrieved and transmitted (at blocks 139, 142 or 144) the controller returns to block 108 (Figure 9) via path 122 to wait for another standby selection code to be sent by the physician.

In operation, the physician first establishes a communication interface between the IPIP and the MPU. The physician will order the IPIP to enter the standby state. Generally, the physician will first send



-33-

the selection code which requests a dump of all data residing in the controller's RAM. The physician can display this data on the MPU screen and confirm the device identity by its unique identification code.

5 The physician can analyze the previous prescription parameters, the prescription limits and the IPIP system operational history. The evaluation data contained in the 1029 byte RAM dump generally indicates: (1) cumulative pump counts; (2) daily pump

10 counts; (3) hourly pump counts; (4) supplemental schedule invocation counts; (5) inhibit counts; (6) limit counts; (7) countermand counts; (8) basal half rate counts; (9) elapse time; and, (10) final epoch. In addition, the physician is provided the following

15 performance data: (1) first confirmed anomalous monitor report; (2) current monitor report; (3) time of first confirmed anomalous monitor report; (4) current chamber and reservoir status; (5) number of disacknowledged commands; and, (6) number of discon-

20 firmed commands.

The physician could now specify new prescription parameters or control limits. The MPU would send the selection code for the prescription parameter load subroutine and would then transmit the following

25 parameters: (1) basal prescription profile; (2) up to 8 supplemental prescription profiles; (3) limits on patient's use of the PPU (generally without such limits the patient can use the PPU to reduce the basal profile by one half, to select any two of the

30 stored supplemental prescription profiles for simultaneous delivery, inhibit pump actuation for one-hour periods or countermand previous selections); (4) set the 3-hour running integral dose limit; (5) set the



-34-

24-hour running integer dose limit; (6) set the cumulative dose limit; (7) set the alarm criteria (it is possible to inhibit the alarm operation for certain conditions); (8) set alarm amplitude; (9) set clock trim constants; and, (10) initial epoch. After the new prescription parameters are stored in the controller's RAM, the physician could send another selection code and display the prescription parameters and control limits that were actually stored in the controller's RAM to assure that the prescription has been correctly received and stored by the controller. After the new prescription parameters are verified, the physician can transmit the selection code which transfers the controller from the standby to the delivery state at the initial epoch embodied in the new prescription. After the operation is completed, the communication link can be disestablished and the controller will proceed in the delivery state to activate the pump means as required by the basal and selected supplemental prescription schedules.

#### Delivery State Routine

The flow chart for the delivery state is shown in Figures 11 through 17. The delivery flow chart comprises a loop containing several logical branches and is traversed once per minute. The time to traverse the delivery state loop is the same no matter which of the loop's logical branches are included in a particular excursion. To accomplish this, the software introduces delays which are not shown explicitly on the flow chart. This technique (adding delay) is well known in the art. The programmer



-35-

merely adds the required number of delay steps in particular logical branches so that no matter what route one takes through the delivery state loop, the elapsed time will be one minute. This technique is  
5 also used in the Standby Routine and the Interrupt Subroutine, as well as all subroutines embodied in these routines. Alternatively, one could implement the program by using a clock-driven interrupt scheme which would initiate excursions through the loop at  
10 one-minute intervals. Either embodiment will work satisfactorily; however, the first method was chosen because it requires fewer hardware components.

The controller enters the delivery state at 145, after receiving a command from the MPU to enter the  
15 delivery state. Block 147 sets the nominal trim constant and prepares the controller for delivery activities. The nominal trim constant, which will be discussed in detail later in this application, is preset so that over a long period of time the  
20 delivery state loop is recycled once a minute.

a. Supplemental prescription delivery means

The software for the preferred embodiment has two subroutines which are capable of delivering supplemental prescriptions schedules. These software  
25 subroutines are shown in Figures 11 and 12, respectively, as Executor A (subroutine 148) and Executor B (subroutine 149). Executor A is encountered first as we proceed around the delivery state loop. The software first sets (block 150) the microprocessor  
30 variables associated with Executor A and then disables (block 151) the interrupt feature. (The



-36-

interrupt feature is a separate subroutine which allows a physician or patient to interrupt the delivery state so that certain requests for modification of drug delivery can be introduced, or so that  
5 the controller can be transferred into the standby state.)

At block 152 the controller determines if Executor A has been assigned a supplemental prescription schedule. If no assignment has been made,  
10 the controller bypasses the pump actuation segment of Executor A. If, however, a supplemental schedule has been assigned, we proceed to determine whether the supplemental dosage is within prescribed limits.

It is important at this point to describe the  
15 supplemental prescription schedule used in the preferred embodiment. The supplemental prescription schedule is a sequence of integers, each integer corresponds to a minute count - that is, the number of minutes of elapsed time since the particular  
20 supplemental prescription schedule had been assigned to one of the Executor subroutines. A particular supplemental prescription profile can at most request one pump actuation per minute. The following is an example of a supplemental prescription schedule:

25 1, 3, 4, 5, 7, 15, 40, 70

Using the above example, Executor A would cause the pump means to actuate once at the 1-minute count; once at the 3-minute count; once at the 4-minute count, etc. The maximum number of integers associated with the supplemental prescription schedule  
30



-37-

cannot exceed 64. In other words, not more than 64 pump actuations can be incorporated in a single schedule. Since each integer corresponds to a time subsequent to that of the previous integer, each integer in the sequence must be greater than the  
5 previous integer. Also, the supplemental prescription schedule used by the preferred embodiment is designed to span 255 minutes or less. In other words, the supplemental prescription schedule is  
10 limited to deliver 64 pump actuations or less in a time frame of 255 minutes or less.

Returning to the flow chart at block 153 (Figure 11) the controller asks whether the total dosage in the supplemental prescription schedule exceeds 64  
15 pump actuations. This feature assures that IPIP will not actuate the pump more than 64 times in executing a single supplemental schedule, even if that schedule (erroneously) calls for a greater number. This safety feature allows the IPIP controller to override  
20 one type of error which might otherwise have detrimental effect on the patient.

Proceeding to block 154 the controller determines whether the total dosage requested by the particular supplemental prescription schedule has  
25 already been delivered on a prior excursion through Executor A. If delivery is complete, the subroutine bypasses pump actuation and the assignment is terminated at block 156. If not, the controller proceeds to block 155 to determine if Executor A has been  
30 assigned a particular supplemental prescription schedule for more than 255 minutes. If so, it means there is an unallowed supplemental prescription



-38-

5 schedule and the supplemental prescription schedule assignment is therefore terminated at block 156. Block 155 is a safety feature preventing Executor A from getting locked indefinitely in an improper supplemental prescription schedule.

10 Proceeding to block 157 the controller determines if the current integer in the assigned supplemental prescription schedule is executable. If the current integer in the supplemental prescription  
15 schedule is smaller than the minute count, the program would get locked into an endless loop. For example, if the physician erroneously programmed the following sequence: 1, 2, 3, 2, Executor A could not proceed past integer 3 and would in essence be frozen  
20 in a continuous loop. To protect the controller from getting locked in such a continuous loop block 157 identifies an unexecutable schedule and directs the controller to proceed to block 156 where the improper supplemental prescription schedule assignment is terminated.

25 We have now established that a proper supplemental prescription schedule has been assigned to Executor A. Proceeding to block 158 the controller determines if the current integer element in the supplemental prescription schedule calls for pump  
30 actuation (i.e., Does the integer equal the minute count -- The minute count is determined by a counter which will be discussed later). If actuation is indicated, the controller at block 160 determines if pump inhibition is in effect. (Pump inhibition is a selection made by the patient's PPU which allows the patient to inhibit medication delivery for up to





-39-

eight, one-hour periods. This safety feature allows the patient to terminate pump activity if he believes that he would otherwise receive undesired meWe now encounter the first segment of the 3-hour and 24-hour integral rate limiting software means. Proceeding to block 161 the controller determines whether the current quarter-hour limit has been reached. Although the quarter-hour running integral limit is calculated elsewhere in the delivery state loop, it is important to briefly explain what the quarter-hour limit calculation involves. The quarter-hour limit is calculated in a housekeeping subroutine which is enabled once in each quarter-hour period. The controller sums the number of pump actuations which have occurred in the last eleven quarter-hour periods (called SUM 11) and in the last twenty-three quarter-hour periods (called SUM 23). These quantities are compared respectively to the 3-hour running integral dosage limit and 24-hour running integral dosage limits. The quarter-hour limit is the smaller of [(3-hour limit) - (SUM 11)] and [(24-hour limit) - (SUM 23)].

At block 161, the controller determines the number of pump actuations which have occurred during the current quarter-hour period. If this number equals or exceeds the quarter-hour limit pump actuation will not occur. Since the quarter-hour limit is recalculated every quarter-hour, the effect is to produce a running integral dosage limit which has a sliding 3-hour and 24-hour time window.

If the quarter-hour running integral limit is reached, the program at block 161 bypasses pump



-40-

priming and in this way the software routine prevents medication from being delivered at inappropriate levels during the shifting time windows.

5 If the quarter-hour running integral limit is not reached, the controller proceeds at block 162 to prime the pump. In the preferred embodiment a capacitor is first charged for approximately 10 to 15 seconds to the required energy level -- this is called pump priming. Later in the flow chart, we will see that the capacitor is discharged through the pump solenoid, thereby causing pump actuation. Proceeding to block 163, the controller records whether: (1) the pump will be actuated; (2) the pump actuation was inhibited because the quarter-hour limit was reached; or, (3) the pump actuation was inhibited because the patient called for pump inhibition. This data is stored in the controller's RAM.

20 We now proceed to actuate the pump. At block 164 the interrupt feature which was disabled at block 151 is re-enabled. Proceeding to block 165 the controller asks if the pump is being primed. At block 166 the controller terminates pump priming activities and at block 167 the pulsatile pump is actuated by connecting the charged capacitor to the pump solenoid. The Executor A subroutine is now completed for this cycle through the delivery state loop.

30 Figure 12 shows the Executor B subroutine 149. We enter Executor B at 168 after leaving the Executor A subroutine. The patient's PPU can select a supplemental prescription profile to be delivered by



-41-

Executor B. Actually, the first supplemental prescription schedule, if any, chosen by the patient will be assigned to Executor A and the second supplemental prescription schedule, if any, chosen by the patient will be assigned to Executor B. The Interrupt Subroutine discussed later in this application, performs this assignment. The flow chart for Executor B is identical in function to the flow chart for Executor A, therefore, further description is not necessary.

b. Branching segment of delivery state routine

This segment of the delivery state routine 188, 189, and 190, causes the controller to select between four possible branches, depending on the minute count. One possible branch contains the basal delivery subroutine; a second branch contains a subroutine which checks the controller's RAM for data integrity; a third branch contains a housekeeping routine which is engaged every quarter hour; and, the last branch bypasses directly to another housekeeping segment which is performed every cycle through the delivery state loop.

At this point, in the delivery state loop, the controller has used up approximately 32 seconds. Continuing along the delivery state loop, the controller branches into four possible paths depending on the "minute count" (see blocks 188, 189, 190 in Figure 13). The "minute count" is an integer which is advanced each time the delivery state loop is completed and is reset every quarter hour (e.g., the "minute count" in the preferred embodiment ranges



-42-

from 0 to 14). During a quarter hour period, the delivery state routine must, in addition to delivering the required supplemental prescription schedules, deliver the prescribed basal dosage, recalculate the quarter hour running integral limit, and perform various housekeeping and timing functions. In order to accomplish these various tasks and not exceed the one-minute loop time, the software routine assigns various tasks to different minute counts encountered during a quarter hour period.

Returning now to blocks 188, 189 and 190 in Figure 13, we see that if the "minute count" is 14 we branch at 191 to a housekeeping subroutine which recalculates a quarter hour running integral limit and performs various housekeeping and timing functions. If, however, the minute count is 13 we branch to a subroutine (block 192) which recalculates CHECKSUM, which will be used subsequently to determine if the prescription parameters stored in the controller's RAM have been inadvertently altered. CHECKSUM is a number obtained by adding those bytes stored in the controller's RAM which represent the prescription parameters. The prescription parameters in the RAM are considered to be 8-bit numbers and the CHECKSUM is an 8-bit answer obtained by adding the various 8-bit numbers contained in the prescription data and disregarding the carry. If any one of the prescription parameter bits are changed it will result in a different 8-bit CHECKSUM number. The CHECKSUM number is used later in the delivery state loop at block 211 (Figure 14) when the controller is



-43-

asked to provide a monitor report. (The monitor report will be discussed in detail later in this application.)

5       Returning to block 190, the controller asks if  
the "minute count" is 7, and if so we branch to the  
subroutine which administers the basal prescription;  
if not, we continue at 193 to another subroutine  
which provides housekeeping once each cycle through  
the delivery state loop. It should be noted that the  
10       "minute count" designated for each of the above tasks  
is arbitrary, and that the only limitation in the  
preferred embodiment is that each of the above tasks  
be completed within a quarter hour period. Other  
software embodiments are envisioned which branch at  
15       different "minute counts" or lump different functions  
in different branches of the delivery state loop.

#### Basal Prescription Delivery Subroutine

20       The basal prescription delivery subroutine as  
shown in Figure 13, directs the controller to acti-  
vate the pump in accordance with the physician's  
programmed basal prescription schedule. The con-  
troller runs through the basal prescription sub-  
routine once every quarter hour period. In the  
preferred embodiment the controller branches into the  
25       basal prescription delivery subroutine at the 7th  
minute of the quarter hour. The controller proceeds  
at block 194 to ask if the current element of the  
basal prescription schedule calls for pump actuation.  
The controller looks at a particular bit in the basal  
30       prescription schedule and if that bit is a "1" the  
controller continues into the basal prescription



-44-

subroutine to further determine if any other command or limitation will inhibit pump actuation.

5 In the preferred embodiment the basal prescription schedule contains a sequence of 96 bits which are programmed by the physician. (NOTE: The patient's PPU does not have the capability to modify the basal prescription schedule; however, the PPU can be used to select a half or full-basal rate delivery. The half basal delivery rate simply calls for pump  
10 actuation for every alternate "1" in the full basal prescription as programmed by the physician.) Each bit in the basal prescription corresponds to a particular quarter-hour among the 96 quarter-hour periods which span the daily cycle. Therefore, "1"  
15 appearing in the basal prescription directs the controller to actuate the pump during that particular quarter-hour. Although in the preferred embodiment, each bit in the basal sequence is associated with a particular quarter-hour period, it is within the  
20 contemplation of the invention to generate a software embodiment in which the interval associated with each bit of the basal schedule may be less than or greater than a quarter hour.

25 The controller now enters the segment of the basal prescription delivery subroutine which asks if the half basal or inhibit commands are in effect. Returning to the flow chart (Figure 13), the controller was asked to determine at block 194 if the current element of the basal prescription schedule  
30 called for pump actuation. If the current element bit is "1" the controller proceeds to block 195 and asks if the half basal directive is in effect. If

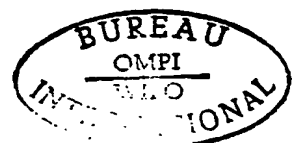


-45-

the half basal directive is not in effect we proceed to block 198; if, however, it is in effect, we proceed to block 196 where the controller complements a one-bit half basal control flag. Proceeding to  
5 block 197, the controller asks if the complemented element is "1". If the element is not a "1" we branch around the pump priming activity; if, however, it is a "1" we proceed to block 198. At block 198 the controller asks if pump inhibition is in effect.  
10 As mentioned previously, the patient, using the PPU, can inhibit pump actuation for a certain number of one-hour periods.

The controller now proceeds to the segment of the basal prescription delivery subroutine which  
15 determines if the current basal dosage will exceed the 3-hour or 24-hour running integral prescription limit by comparing a quarter-hour dosage count with the quarter hour limit. If the inhibit is not in effect, the controller proceeds to block 199 and  
20 determines if the quarter hour running integral limit has been exceeded. The quarter hour running integral limit means is contained in block 199 and operates in a similar manner to blocks 161 and 180 found in Executors A and B. If a pump actuation cycle would  
25 result in a pump count for the current quarter hour which equals the quarter hour limit, the controller branches to block 201 and avoids pump priming. If, however, the quarter-hour limit is not reached, the controller proceeds to block 200 and initiates pump  
30 priming.

The next segment of the basal prescription delivery subroutine is used to actuate the pump and



-46-

to record pump utilization history. Proceeding to block 201, the controller records the deposition of the scheduled pump activity. That is, the controller records, (1) whether the pump is being primed; (2) whether pump actuation was inhibited by an inhibit command; (3) whether the quarter-hour running integral limit was exceeded; or, (4) whether the half-basal modification prevented pump actuation. Proceeding to block 202 we ask if the pump is being primed. If the pump is being primed we terminate priming at 203 and actuate the pump means at 204. After pump actuation at block 204 the controller proceeds to block 247 (see Figure 17). If, however, the pump was not being primed we also proceed to block 247, (Figure 17) and bypass pump actuation. Block 247 will be discussed in detail later in this application and provides various housekeeping activities before the controller recycles through the delivery state loop.

Housekeeping subroutine and running integral limit calculation and an anomaly alerting means

Figures 14 through 16, show the flow chart for the housekeeping subroutine used to calculate the quarter-hour limit and provide other housekeeping functions. In the preferred embodiment, the delivery state program branches to this subroutine at the last minute of the quarter hour (see block 188, Figure 13). In Figure 14 the controller first proceeds to block 205 and advances the basal schedule element selector. In this step the controller identifies the next bit of data stored in the basal prescription profile. This identification is utilized in





-47-

executing the basal schedule bit corresponds to data necessary during the next succeeding quarter-hour period. At 206 the controller checks to determine if pump inhibition is in effect. As mentioned previously, the patient can suspend pump operation for a certain number of 1-hour intervals. (NOTE: blocks 160, 179, and 198, in figures 11, 12, and 13 respectively, suspend pump actuation in the Executor and basal subroutines when pump inhibition is in effect). If the pump inhibition is in effect, the controller proceeds to block 207 and decrements the inhibited quarter-hour count by one. (The one-hour inhibition period corresponds to four quarter-hour periods.) When the inhibited quarter-hour count has been reduced to zero, the pump can again be actuated as directed by the delivery routine.

The next segment of the housekeeping subroutine recalculates the quarter-hour limit. Proceeding to blocks 208, 209 and 210, the controller recalculates the quarter-hour dosage limit. At 208 the controller copies certain data stored in the quarter hour archives (e.g., the number of pump actuation commands, the number of actual pump actuations, and other such measurements which were recorded in the controller's registers during the present quarter-hour period) and stores them in more permanent memory archives in the RAM. At 209 the controller recalculates SUM 11 which is the number of pump actuation commands issued in the immediately preceding eleven quarter-hour periods. The controller retrieves from RAM archives pump actuation counts for each of the preceding eleven quarter-hour periods and adds the total to obtain SUM 11. At block 210, the controller



-48-

recalculates the quarter-hour running integral limit for the next quarter-hour period. (i.e., the quarter hour which starts with the next cycle through the delivery state loop.) To calculate the quarter-hour limit, the controller looks up the 3-hour and 24-hour prescription limits parameters selected by the physician and stored in the controller's RAM, and looks up SUM 11 and SUM 23 in its memory registers. SUM 11 was calculated at block 209; SUM 23 represents a count of the number of pump actuation commands which occurred in the immediate preceding twenty-three hour periods and is calculated later in this subroutine.) The controller then calculates the number [(3-hour limit) minus (SUM 11)] and the number [(24-hour limit) minus (SUM 24)] and selects the smallest as the next quarter-hour limit. The quarter-hour limit tells the controller how many pump actuations will be allowed in the quarter hour. (NOTE: At block 161, 180, and 199, in Figures 11, 12 and 13 respectively, the quarter-hour limit is used to suspend pump activity when the number of pump actuations occurring in the quarter-hour equals the quarter-hour limit).

The controller now proceeds to the segment of the housekeeping subroutine which determines whether operational anomalies have occurred during the current quarter-hour period. At block 211, a monitor report is formulated. The monitor report is an 8-bit word with each bit representing a particular type of system malfunction. At the end of the quarter-hour period, the controller surveys the pump, chamber and reservoir monitors to see if anything has gone wrong. The indicators may signify that: (1) moisture is



-49-

5 detected in the chambers; (2) that the total number  
of pump actuations exceeds a certain number pro-  
grammed by the physician (since the medication  
chamber can deliver a given number of pulses of  
medication, the alert tells the patient that its time  
to get a medication refill); (3) that a consistency  
10 check of the data stored in the RAM indicates a  
change in the stored prescription parameters (such a  
change may occur if, for example, a power transient  
or an alpha particle causes a data bit to change  
state). This subroutine detects any difference  
between the current quarter hour calculation of  
CHECKSUM and the initial calculation of CHECKSUM; (4)  
that the current-day pump actuation monitor count is  
15 different from the number of times the controller  
called for pump actuation. (In the preferred embodi-  
ment the count must differ by four before an anomaly  
is declared); or (5) that the fluid reservoir  
switches indicate that reservoir is either full or  
20 overfull.

At block 212 the controller asks whether an  
anomaly has been previously confirmed. If an anomaly  
had not been confirmed we proceed to block 213 to  
determine whether or not an anomaly is not confirmed  
25 by the just-formulated monitor report. To confirm an  
anomaly the controller determines if the anomaly has  
occurred in two consecutive quarter-hour monitor  
reports and if the anomaly is one having an activated  
alarm criteria. (The physician can program the  
30 controller to disregard certain anomalies. If, for  
instance, the physician knows the moisture detector  
is not working properly he can have its report dis-  
regarded.)



-50-

5 If an anomaly is confirmed, an alarm control flag is set at 214 and the time of the first confirmed anomaly is recorded at 215. Proceeding to block 217 shown in Figure 15, the controller asks if the alarm control flag is set. If the alarm was not set previously we skip to block 220. If the alarm flag had been previously set (i.e., set by block 214 in the current or by block 227 in the previous cycle of the delivery routine) we clear the flag at 218 and execute an alarm at 219. In the preferred embodiment, the controller actuates an alarm means which provides the patient with an electric tickle. It should be noted that other forms of alarm means, such as an audio alarm, would work equally well and are within the contemplation of the invention. The patient will receive an alarm immediately after an anomaly has been confirmed. The patient will also receive an alarm at hour intervals after the first alarm -- this aspect of the program will be discussed later in this application.

25 At block 220 the controller asks whether the quarter-hour count is 3, 7, 11, or 15. The quarter-hour count is an integer from 0 to 15 which represents the number of quarter-hour lapsed intervals and is reset every fourth hour (i.e., at a count of 16). The actual quarter-hour counter appears later in the delivery state routine. Therefore, we answer "yes" at block 220 for the last minute of every hour of lapsed time and proceed to block 221.

30 The next segment of the housekeeping subroutine is encountered only during the last minute of each hour and recalculates the 24-hour running integral



-51-

limit and provides other trimming and housekeeping operations. Proceeding from block 220 to block 221 the controller designates an hourly trim constant. Earlier in the delivery state routine at block 147 (see Figure 11) we designated a pre-set nominal trim constant. Now the program selects an hourly trim constant to speed up or slow down the controller's activity so as to synchronize the controller's activity with actual time. The hourly trim constant is one of the prescription parameters which is programmable by the physician. (For example, if the oscillator clock is causing the controller to lose 30 seconds a day as compared to actual time, the physician can program a trim constant to speed up the controller during the last minute of the hour.)

The controller now proceeds to recalculate the 24-hour running integral rate limit. At block 223 the controller records in permanent RAM archives and clears from temporary registers certain information recorded during the last hour. At block 224, the controller recalculates SUM 23 which is the number of pump actuation commands issued in the immediately preceeding twenty-three hour periods. The controller retrieves from RAM the number of pump actuation commands for each of the twenty-three preceeding hour periods and adds the total to obtain SUM 23. At 225 the controller disables the delivery interrupt feature (the delivery interrupt feature permits the physician or patient to interrupt the normal progression through the delivery state routine). The next segment of the housekeeping subroutine activates the alarm for a confirmed anomaly. As mentioned previously, the alarm means is activated on an hourly



-52-

basis after an anomaly is confirmed. Therefore, at block 226 we asked whether an anomaly had been previously confirmed. If no anomaly had been previously confirmed, the controller bypasses to block 228; if  
5 an anomaly had been confirmed, the controller goes to block 227 and sets the alarm control flag. The alarm control flag will cause the alarm to be actuated at block 219 during the next cycle of the delivery state routine.

10 The controller now proceeds to a segment of the housekeeping subroutine which recalculates the quarter-hour limits. Since the housekeeping routine just recalculated SUM 23, it is necessary to determine if the newly determined value of that parameter  
15 changes the previous calculation of the quarter-hour limit. The controller at block 228 recalculates the quarter-hour limit using the new value for SUM 23, as calculated in block 224. The quarter-hour limit is calculated in the same manner as mentioned previously:  
20 (1) the controller looks up the 3-hour and 24-hour running integral dosage limits as programmed by the physician; (2) the controller calculates [(3-hour limit) minus (sum 11)], and [(24-hour limit) minus (SUM 23)]; and, (3) the smaller of the two  
25 values calculated in step 2 becomes the quarter-hour limit.

The controller now proceeds to block 230, shown in Figure 16, and provides housekeeping functions which are necessary during the last minute of every  
30 two-hour period. Proceeding now to block 230 the controller asks whether the hour count is odd, i.e., is this the last minute in an odd number hour. If we



-53-

are in the last minute of an odd hour we proceed to block 231 and resynchronize the basal program element selector. (The 96-bit sequence which makes up the basal rate prescription is organized into 12 words of 8-bits each. Since each 8-bit word takes 2 hours for the controller to process, we want to select the next 8-bit word for processing during the last minute of the 2-hour lapsed period. At the beginning of the new 2-hour period we want to assure that the controller is looking at a new bit in a new basal prescription word).

The next segment of the housekeeping subroutine is only encountered during the last minute of every 4-hour time period. At block 232 we proceed to ask whether the hour counter is 3, 7, 11, 15, 19, or 23. That is to say, we are at the last minute of a 4-hour time lapse. (The hour count represents the number of lapse hours from 0 to 23 and is reset when the count reaches 24). If the answer is "yes" we proceed to block 233 and resynchronize the quarter-hour counter -- the quarter-hour counter advances every 15 minutes and counts from 0 through 15 and then gets reset by block 233. The quarter-hour counter must be recycled during the last minute of a 4-hour period.

The next segment of the housekeeping subroutine is encountered only during the last minute of the day. At block 234 the controller asks if the hour count equals 23 (i.e., are we in the last minute of the day). If so, we proceed to block 235 and designate the daily trim constant. The daily trim constant is programmed by the physician and like the hourly trim constant allows the physician to speed up



-54-

or slow down controller activity during a particular cycle of the delivery state routine, so as to synchronize activity with real time. Proceeding to block 236 the controller copies and clears current day archives. At 237 we ask whether we are on the last day of the month (i.e., 32-day period). If it is the last minute of the last day of the month we designate the monthly trim constant (block 238). If we are not in the last day of the month we proceed directly to block 239 and increment the day counter.

The next segment of the housekeeping subroutine can activate a noon whistle alarm. Returning to block 234 (Figure 16), if we are not at the last minute of the day, the controller proceeds to block 240 and asks whether the hour count equals 11, i.e., is this the last minute before noon. If it is the last minute before noon we go to block 241 and ask if the physician has programmed a noon whistle. (The physician as part of the prescription parameters can request an actuation of the alarm means at noon. This can be used to assure the patient that the IPIP controller system is working). If a physician has programmed a noon whistle, the controller proceeds to block 242 and actuates the alarm means (the noon whistle in the preferred embodiment has one alarm burst whereas an anomaly alarm will be reported by several bursts from the alarm means.)

The next segment of the housekeeping subroutine initiates several counters. The controller enters block 243, shown in Figure 16 after having completed blocks 230, 232, 240, 241, 242 or 239. At block 243 the controller increments the hourly counter (the





-55-

hourly counter counts from 0 to 23) and the cumulative hourly counter (keeps track of total lapsed time in hours since the controller was put in the delivery state). Proceeding to block 244, the controller  
5 enables the delivery interrupt feature which was disabled previously at block 225 (Figure 15).

The controller proceeds to block 245 after having exited from block 244 or block 220 (e.g., one gets to block 245 at the last minute of each quarter-  
10 hour period). At block 245, the quarter-hour count is incremented.

e. Delivery state loop housekeeping and timing segment

The controller enters the next housekeeping  
15 segment during every cycle of the delivery state loop. We can proceed to block 247 from block 245, 190, 192 or 204 (see Figures 13 and 16), that is to say, the controller will enter block 247 during every cycle through the delivery state routine. At block  
20 247, the minute count is incremented. Proceeding to block 248 the controller asks if the minute counter exceeds 14. If so, the controller goes to block 249 and clears the minute counter. (The effect of these two steps is to reset the minute count to "0" as soon  
25 as it reaches 15). Proceeding to block 250, the controller asks if the quarter-hour count exceeds 15; if so, the controller at block 251 clears and resets the quarter-hour counter. Similarly, proceeding to block 252 we ask if the hour count exceeds  
30 23; if so, the hour counter is reset at block 253.



-56-

The controller must now execute a delay as required by the designated nominal, hourly, daily, or monthly trim constant. As previously discussed, the controller can specify a trim constant to override the nominal trim constant. (The nominal trim constant was set in block 147, Figure 11). A new trim constant can be set for the cycle occurring on the last minute of the hour, day or month. At block 254 (Figure 17), we execute the specific delay as designated earlier in the housekeeping subroutine. As mentioned previously, the trim constant delay is used as a means for synchronizing controller activity with actual time.

Proceeding to block 255, the controller asks if there are any uncompensated interrupt occurrences pending. As mentioned previously, an interrupt of the delivery cycle can be requested by the patient or physician and is used to effect some modification of drug delivery. (The Interrupt Subroutine will be discussed later in this application.) However, at present we need to know that an interrupt will delay the delivery state routine for a set number of seconds, accomplish its mission, and then permit resumption of delivery routine at the point of interruption. (The Interrupt Subroutine always takes the same amount of processing time.) If there are no uncompensated interrupts we proceed to block 258 and execute a standard delay. If there have been one more uncompensated interrupts, the delivery cycle will take several seconds longer than normal. Blocks 256 and 257 compensate by executing a shorter delay during successive cycles through the delivery routine until all such interrupts have been compensated.



-57-

Block 256 decrements a counter each time the controller travels through the delivery state loop. When the number generated in block 256 equals zero, all prior interrupts have been compensated.

5       After completing block 258 or 257, the controller has travelled once through the delivery state loop and is ready to recycle through the loop by again performing the function in blocks 147, shown in Figure 11. As previously mentioned, the delivery  
10   state loop, on the average, recycles once per minute, no matter what logical path is taken through the delivery state routine. The controller will continuously cycle through the delivery state loop and activate the pump (when appropriate) until a transfer  
15   to the standby state is effected.

#### Interrupt Subroutine

The Interrupt Subroutine flow chart is shown in Figures 18 through 20. This subroutine enables a physician or the patient to interrupt the delivery  
20   state loop and modify drug delivery or effect a transfer to the standby state. In operation, the PPU or MPU is used to establish a communication link with the IPIP communication means. The communication means performs certain tests to verify that an  
25   appropriate type of signal has been received (i.e., the signal must have a certain frequency and format) and conveys the received 8-bit code to the Controller's UART. When an 8-bit code is introduced into the UART the controller enters the Interrupt Subroutine  
30   at 301 to verify the receipt of a valid 8-bit delivery state selection code.



-58-

Generally, the Interrupt Subroutine can interrupt the delivery state loop at any point after the completion of any block. However, as mentioned previously, several segments in the delivery state  
5 loop have commands which prevent the controller from entering the Interrupt Subroutine during those segments. If the controller receives a transmitted code during an appropriate period in the delivery state loop, it will branch to 301, complete the Interrupt  
10 Subroutine, and return at 352 to the point at which it left the delivery state routine, and continue to recycle through the delivery state loop.

After the controller enters the Interrupt Subroutine at 301, the controller proceeds to block  
15 302 and disables the interrupt feature (i.e., the controller is prevented thereby from accepting a second interrupt attempt while it is still processing the first interrupt).

a. Controller verifies delivery selection code

20 The controller proceeds to block 303 and determines whether the 8-bit code it received from the communication means represents a valid delivery state selection code. This safety feature prevents a spurious signal or an interferring signal from acci-  
25 dentally modifying the prescription parameters. At block 303 the controller tests the 8-bit code to see if it represents a valid delivery state selection code. In the preferred embodiment there are a limited number of possible delivery state selection  
30 codes which instruct the controller to: (1) deliver one of the 8 supplemental prescription schedules



-59-

stored in the controller's RAM; (2) deliver a half-basal schedule; (3) deliver a full basal schedule as stored in the RAM; (4) inhibit pump actuation for one hour; (5) countermand current supplemental prescription schedule assignments and any current inhibit commands; and, (6) transfer to the standby state.

The PPU is not capable of delivering the command to transfer to the standby state. The PPU can be used to select one of the supplemental prescription schedules previously stored by the physician in the controller's RAM. Similarly, the PPU can select either full or half-rate delivery of the basal prescription schedule previously stored by the physician in the controller's RAM. The PPU can also be used to inhibit pump actuation for up to 8 one-hour periods, or to countermand certain previous commands made by the PPU.

Returning to the flow chart in Figure 18, if the controller at block 303 finds an invalid selection code it proceeds to record in RAM the receipt of an invalid code (block 304) and disacknowledges receipt of a valid selection code (block 305). In the preferred embodiment, to disacknowledge receipt of a valid selection code, the controller transmits to the PPU or MPU a disacknowledgement code. Returning to block 303, if the controller receives a valid code we proceed to block 306 and ask if a delivery to standby state transfer was requested. If such a transfer was requested we branch to block 319 (Figure 19); if not, we proceed to block 308.



-50-

b. Controller tests deliverability of selection code

At block 308, the controller asks if the selection code is an active selection code (i.e., not inhibited by the physician's prescription). The physician, as part of the prescription parameters, can deactivate any of the PPU selection codes. (For example, the physician may not want the patient to use the inhibit selection code. If the physician deactivates this selection code, the controller at block 308 would not identify the inhibit selection code as an active code.) If at block 308 the controller finds an inactive code, it branches to blocks 309 and 310, recording receipt of the inactive selection code and transmitting a disacknowledging signal.

If, however, the selection code is active the controller proceeds to block 311 and the controller determines if the selection code requests delivery inhibition. If delivery inhibition is selected, the controller proceeds to block 312 and asks if the inhibition period is at the maximum possible level. In the preferred embodiment, the maximum inhibition period is 8 hours (i.e., 32 quarter-hour periods). Each time the patient uses the inhibit selection code one hour's (4 quarter-hour periods) worth of inhibition is provided. A counter, which we discussed in the delivery state loop, keeps track of the number of quarter-hour periods calling for inhibition. If the counter exceeds 32, the controller proceeds to block 313 and 314 recording the request for unavailable inhibition service and sending a disacknowledgement to the PPU. However, if selection code did not call



-61-

for inhibition (block 311) or, the maximum inhibition period was not exceeded (block 312), the controller proceeds to block 315. At block 315 the controller determines whether the selection code calls for  
5 execution of a supplemental prescription schedule, and if so the controller proceeds to blocks 316 and 317 to determine if Executor A or Executor B are currently under assignment. If both Executor A and B are in use, the controller proceeds to blocks 313 and  
10 314, recording that the requested supplemental prescription schedule cannot be currently executed and sending a disacknowledgement to the PPU. If however, either Executor A or B are available, the supplemental prescription schedule can be delivered and the  
15 controller proceeds to 319 (Figure 19).

c. Double handshaking means

It will be noted at this point in the Interrupt Subroutine, that the controller has tested the selection code and is satisfied that the selection code is  
20 valid, active, and requests a service that the controller can currently provide. The controller now proceeds at block 319 (see Figure 19) to acknowledge to the PPU or MPU receipt of a valid and active selection code representing a request which can be  
25 fulfilled. The controller provides this acknowledgement by transmitting a preamble and repeating the received selection code for verification by the PPU or MPU. The PPU or MPU then verifies that the acknowledgement corresponds to the intended  
30 selection code. If there is a verification, the MPU or PPU sends an 8-bit execution signal. At block 320 the controller asks if this execution signal has been



-62-

received before the expiration of a time limit. This safety feature assures that the correct selection code has been received by the IPIP, and it also prevents spurious or interfering signals from  
5 modifying prescription commands.

If the execution signal was not timely received, the controller at block 321 records the failure to receive a timely execution signal at the block 322 transmits a signal confirming receipt of a timely  
10 execution code. However, if a timely execution code is received the controller asks at block 323 if the execution code has the prescribed structure -- again, protecting the IPIP from being influenced by spurious signal. If a valid execution code is not received,  
15 the controller records receipt of an erroneous signal at block 324 and transmits a confirmation code (block 325). If however, a valid and timely execution code is received the controller proceeds to block 326 and instructs the communication means to send a signal to  
20 the PPU or MPU confirming receipt of a valid and timely execution code.

d. Controller performs and records the selection code and alerts patient to unusual modifications

25 At this point in the Interrupt Subroutine, the controller is now satisfied that the original selection code is not only active and valid, but that the request implied by receipt of that selection code should be honored. Proceeding to block 327, the  
30 controller asks if the selection code requests





-63-

transfer to the standby state. (It will be noted that only the MPU has the capability of transmitting the selection code which can transfer the controller from the delivery to the standby state. Limiting the PPU's prescription programming capability is a safety feature preventing the patient from inadvertently or intentionally exceeding safe dosage limits.) If the controller is requested to transfer from the delivery to the standby state, it terminates any pending pump activity (block 328). The controller might have been priming the pump when interrupt was initiated. The controller then proceeds at 106 (see Figure 9) to enter the standby state.

If, however, the selection code does not request such a transfer, the remaining selection codes must request a delivery state controller directive; therefore, at block 329 the controller records receipt of a selection code modifying a medication dosage. (This information is recorded in the RAM and can be retrieved by the physician to assess if the patient is using the device appropriately). Proceeding to block 330, the controller asks if the selection code constitutes a request to countermand a pending medication selection directive; and, if so, the controller proceeds to blocks 331, 332 and 333. The controller records the supplemental prescription schedule dosages which will be undelivered (block 331), cancels the current supplemental prescription schedule assignments to Executor A or B (block 332), and clears the pending inhibition count (block 333). If the patient had previously called for one or more hours of pump inhibition, clearing the inhibition



-64-

counter will have the effect of countermanding the previous inhibition commands.

5 If, however, the selection code was not a countermand directive, the controller proceeds to block 334 and asks if the selection code corresponds to an inhibition directive. (In the preferred embodiment the inhibition directive allows the patient to suspend medication delivery for one hour. The patient can only deliver 8 such consecutive inhibition commands.) If the selection code requests inhibition, the controller proceeds to block 335 and adds four quarter-hour counts to any pending inhibition period count. Proceeding to block 336, the controller asks if the inhibition count exceeds a maximum permissible level. (i.e., does the count exceed 32 quarter-hour periods.) If the counter exceeds the maximum limit the count is reduced to the maximum limit at block 337.

20 Returning to block 334 if the selection code is not an inhibit directive, the controller proceeds to block 338 and asks if the code represents a modification of the basal delivery schedule (i.e., a command to deliver half basal, or a command to deliver full basal). If the selection code does not call for a basal modification, the controller proceeds to block 25 339 and assures that the selection code constitutes a request to deliver a supplemental prescription schedule and exits at 342 to a point in the subroutine shown in Figure 20. (If the selection code becomes inadvertently modified, block 339 sets the code to one of the supplemental prescription schedule selections by ignoring unused bits in the selection



-65-

code.) If, however, the controller determines at block 338 that a basal directive was requested, the controller proceeds to block 340 and establishes the requested half or full basal delivery.

5           The Interrupt Subroutine flow chart continues in Figure 20. The controller proceeds to block 343 if the selection code calls for an assignment of a supplemental prescription schedule. At block 343, the controller asks if Executor A has been assigned and if so the controller assigns (block 344) the new supplemental prescription schedule to Executor B; if  
10           however, Executor A was not assigned, the controller assigns (block 345) the supplemental prescription schedule to Executor A.

15           Alternatively, the controller could have entered block 346 after having passed through blocks 333, 336, 337, or 340 (see Figure 19). If the controller took this route, it means the selection code requested a basal delivery modification (i.e., half or full  
20           basal delivery), or an inhibit or countermand directive. Since the basal selection directive, inhibit directive, and countermand directive, are not normally used by the patient, it may be advisable to alert the patient that such a selection had been  
25           made. This is an additional safety feature which assures that an inadvertant patient error will not alter the patient's medication schedule. At block 346, the controller asks if the prescription calls for an alarm if particular prescription modifications  
30           are requested. If such an alarm is prescribed, the controller (block 347) executes a single burst alarm. Proceeding to block 348, the controller asks if the



-66-

5 pump was being primed prior to the Interrupt Sub-  
routine. If the answer to block 348 is "yes", the  
pump is again primed (block 349). This feature is  
necessary because in the preferred embodiment the  
voltage quadrupler is used both to energize the alarm  
and to prime the pump. Since in blocks 346 and 347  
the quadrupler could have been used to energize the  
alarm and to prime the pump. Since in blocks 346 and  
347 the quadrupler could have been used to energize  
10 the alarm, it may now be necessary to re-energize the  
pump priming means.

15 The controller will now enter block 350  
irregardless of the pass taken through the Interrupt  
Subroutine, unless the selection code requested a  
transfer from the delivery to the standby state.  
(The controller can arrive at block 350 after  
processing blocks 348, 349, 345, 344, 305, 310, 314,  
322, or 325, see Figures 18-20). The controller at  
block 350 must now increment the uncompensated inter-  
20 rupt occurrence counter. The uncompensated interrupt  
occurrence counter was discussed previously in the  
delivery state loop and is used by the controller at  
block 257 (Figure 17), to compensate for delay in the  
one-minute delivery state loop caused by an Interrupt  
25 Subroutine. (In the preferred embodiment, the time  
compensation is accomplished over several cycles of  
the delivery state loop.) The controller now pro-  
ceeds to block 351 and enables the delivery interrupt  
feature which was previously disabled at block 302  
30 (Figure 18). The Interrupt Subroutine is now com-  
plete and at 352 the controller returns to the deliv-  
ery state loop at the same point it had previously  
exited to perform the Interrupt Subroutine. The



-67-

controller, now in the delivery state mode, continues to cycle through the delivery state loop providing medication dosages as requested by the selected supplemented or basal prescription schedules.

5     Performance of Running Integral Dosage Limit Means

10     The running integral dosage limit means enables the controller to suspend pump actuation when the dosage in a prescribed time period exceeds a limit. In the preferred embodiment a 3-hour and a 24-hour time window is used. The physician as part of the prescription parameter, programs the maximum dosage of medication (i.e., number of pump actuations) allowable in the 3-hour and 24-hour period. The 3-hour time window is shifted by the controller every quarter hour; the 24-hour time window is shifted every hour.

20     The running integral limit calculation means (outlined in Figure 8, with the detailed software flow chart shown in Figures 14 and 15) calculates the number of pump actuations allowable in the next quarter-hour period. The controller keeps a record of the number of pump actuations which have occurred in each quarter hour period. A segment of the software routine retrieves this data from RAM archives and calculates the number of pump actuations occurring in the most recent eleven quarter-hour periods (SUM 11) and the most recent twenty-three hour periods (SUM 23). As discussed previously, SUM 11 and SUM 23 are subtracted from the 3-hour programmed limit and the 24-hour programmed limit. The smaller of [(3-hour limit) minus (SUM 11)] or [(24-



-68-

hour limit) minus (SUM 23)], for a particular quarter-hour, is set as the quarter-hour running integral limit. In effect, the controller shifts a time window at quarter-hour intervals and determines if any additional pump actuations will be allowable in the next quarter-hour period.

The quarter-hour running integral limit means is included in Executor A, Executor B and the basal delivery subroutine (the quarter-hour running integral limit means is outlined in Figure 8 and its detailed software flow chart is shown in Figures 11 through 13). If the total pump actuations in the current quarter-hour equals the quarter-hour running integral limit, the controller branches around the pump priming function and the pump actuation is avoided.

Figure 21 illustrates a typical application of the running integral dosage limit means to monitor an infusion pump used to delivery insulin to a diabetic patient. The physician has programmed a basal prescription dosage 353, which calls for pump actuation every 30 minutes. Supplemental prescription schedules have also been programmed by the physician and can be requested by the patient using the PPU. The patient will request a supplemental prescription schedule before each meal so that the post-prandial insulin delivery profile will be increased. The physician aware of the particular patient's physiology has programmed a 3-hour running integral dosage limit of 15 pump actuations and a 24-hour running integral dosage limit of 100 pump actuations.



-69-

In the example shown in Figure 21, the patient requests three supplemental prescription schedule assignments. The first assignment 354 is requested before the breakfast meal at approximately 10 a.m. A  
5 second supplemental prescription schedule 355 is requested at 11 a.m. after the patient has eaten a snack, and a third supplemental prescription schedule 356 is requested at 1 p.m. before the patient eats lunch.

10 The actual medication dosage delivered by the infusion pump is shown in Figure 21 on line graph 357. However, it will be noted that the running integral limit means has prevented four pulses of medication (358-361) from being delivered. The  
15 requested breakfast supplemental prescription schedule 354 and snack supplemental prescription schedule 355 would have resulted in excess dosage over a 3-hour period if the running integral limit means had not been in effect. (In the example shown  
20 in Figure 21, it is assumed that for simplicity, the pump was implanted at 9 a.m. and no pump actuations occurred prior to 9 a.m.)

Figure 21 clearly shows the useful effect obtained by using a running integral dosage limit means. A 3-hour window shown at 362, contains a  
25 count of 15-pump actuations. Since the 3-hour limit is 15, the quarter-hour running integral rate limit for the next quarter-hour is zero, and as a result the pump actuation 361 requested by the basal pre-  
30 scription schedule is not deliverable. Looking at another 3-hour time period (shown at 363) the number of pump actuations occurring during that period was



-70-

eleven, therefore, the next quarter-hour period would allow delivery of 4 pulses of medication. Therefore, a pulse of medication 364 requested by the basal prescription schedule during that next quarter-hour period is now allowable.

The running integral dosage limit means which was described and claimed in the U.S. parent application, contains both a hardware and software system. The current embodiment is a total programmable software version. It is within the contemplation of the inventor to use the integrated rate limit means in either an implanted or an external infusion pump. The invention represents a unique safety feature which allows flexibility in dosage programming and at the same time prevents an inadvertent or intentional overdose.

#### Digital Integrating Rate Limiter

In the preferred embodiment, a hardwired digital integrating rate limiter is used in combination with the software running integral dosage limit means. The digital integrating rate limiter is a separate backup system independent of the microprocessor operation. The digital integrating rate limiter is used to set an outer envelope of allowable dosages. In the preferred embodiment, this maximum envelope of allowable dosages will only be reached if a software system failure allows the pump to be acutated at a dangerously high rate.

A block diagram of the digital integrating rate limiter 41 is shown in Figure 22. Generally, the





-71-

digital integrating rate limiter comprises: (1) an updown counter 365 capable of storing M counts; (2) a clock 366 capable of delivering N counts/hour (in the preferred embodiment, a separate auxiliary RC oscillator, separate from the microprocessor's clock, provides the clock pulses to the digital integrating rate limiter); and, (3) a pump actuation monitor 23, which provides a pulse each time the pump means 18 (see Figure 2) actually delivers medication. The updown counter provides signal 367 which inhibits pump actuation, when its counter is zero. Pump priming will be inhibited if  $M + N$  pulses are delivered the first hour, and if N pulses are delivered per hour thereafter.

The operation of the digital integrating rate limiter might best be viewed in terms of the following simple example. An updown counter is initially full with M counts. The clock causes additional counts to flow into the updown counter at the rate of N counts per minute. If the updown counter is at its maximum full capacity, the additional counts will be disregarded; however, if the updown counter is not full, the counts will be added until the updown counter has reached its maximum of M counts. The pump actuation monitor subtracts pulses each time the pump is actually triggered. The pump counts subtract from the counts contained in the updown counter. In operation, the updown counter can be emptied of counts as rapidly as possible until no counts remain. If no counts remain (i.e., the updown counter is zero), the pump actuation will be inhibited. If less than the full number of counts are drawn from the updown counter - if, for example, a



-72-

supplemental prescription schedule calls for 15 counts to be delivered in the first hour, and a basal rate of a 5-counts per hour thereafter - the clock will slowly fill the updown counter back to its maximum capacity of M counts.

Therefore, the pump can be called to deliver a large dosage of medication at one particular time. The pump will be allowed to deliver medication until it depletes the updown counter of counts. (i.e., until the updown counter reads zero.) In addition to a maximum dosage delivery in a short period of time the pump can deliver a basal rate dosage of medication. As long as the basal rate is less than the clock rate (N pulses per hour), the updown counter will be slowly refilled so that an additional large dosage can be delivered in the future -- i.e., when the patient requests delivery of an additional supplemental prescription schedule.

In the preferred embodiment, the updown counter 365 is a 5-bit counter which can hold a maximum of 32 pulses. When the counter is zero, a signal 367 is sent to the voltage quadrupler 38 thereby inhibiting pump priming. In this embodiment, the pump can deliver a maximum of 42 pulses of medication in the first hour and continue to deliver a constant basal rate at 11 pulses per hour thereafter. This outer limit was selected for infusion pumps used by diabetics because it represents the maximum concentration of insulin a patient can safely tolerate. It assumes that a physician will prescribe a basal rate of less than 11 pumps per hour and a supplemental prescription schedule which requires the delivery of

-73-

less than 43 pumps of medication. It is to be understood that the outer dosage limits depends on the type of medication, the concentration of medication, and the volume of medication delivered by each pump actuation. Therefore, it is within the contemplation of this invention to provide different maximum dosage envelopes by adjusting the clock rate (N pulses per hour) and adjusting the maximum count in the updown counter (M counts).

Although the preferred embodiment utilizes the digital integrating rate limiter as a backup system which is used in combination with the software running integral dose limits means, the invention contemplates an infusion pump in which the digital integrating rate limiter is the sole means of providing protection against inadvertent or intentional medication overdoses. It is also envisioned that the maximum storage capacity of the updown counter can be programmable by the physician to provide flexibility for different patients. It is also within the contemplation of the invention to provide a programmable clock which can be modified to allow a smaller or larger basal delivery of medication.

While there have been described what are believed to be the preferred software and hardware embodiments of the invention, those skilled in the art will recognize that other and further modifications may be made hereto without departing from the spirit of the invention, and it is intended to claim all such embodiments as fall within the true scope of the invention.



-74-

Claims

1. A medication infusion system having a controller to actuate a pump thereby delivering programmable dosages of medication, said controller comprising:

5 a delivery means for actuating said pump in accordance with at least one assigned prescription schedule, wherein said pump causes a certain volumetric dosage of medication to be delivered with each pump actuation;

10 a memory; and

a command means for storing prescription data including said at least one prescription schedule in said memory and for selectively assigning a prescription schedule stored in said  
15 memory to be delivered by said delivery means.

2. The apparatus as in Claim 1 further comprising:

a running integral dosage limiting means for summing the number of pump actuations occurring during the most recent shifting time window of  
20 pre-selected length and for inhibiting pump actuation while said sum exceeds a programmable running integral dose limit.

3. The application as in Claim 2 wherein said command means programs said running integral dose  
25 limit means with at least one running integral dosage limit.



-75-

4. The apparatus of Claim 3 wherein said delivery means further comprises:

5 a basal delivery means for actuating said pump in accordance with an assigned basal prescription schedule; and

10 a supplemental prescription delivery means for actuating said pump in accordance with at least one assigned supplemental prescription schedule and wherein said command means assigns said basal prescription schedule and said at least one supplemental prescription schedule.

15 5. The apparatus of Claim 4, wherein said running integral dosage limiting means sums the number of pump actuations occurring in the most recent 3-hour time period, and inhibits pump actuation if said sum exceeds a 3-hour running integral dosage limit.

20 6. The apparatus of Claim 4, wherein said running integral dosage limiting means sums the number of pump actuations occurring in the most recent 24-hour time period and inhibits pump actuation if said sum exceeds 24-hour running integral dosage limit.

7. The apparatus of Claim 4, wherein a physician or the patient can input prescription parameters and running integral rate limits directly into said command means.



-76-

8. The apparatus of Claim 4 further comprising:

at least one monitor for indicating an anomaly  
in the medication infusion system;

an alarm means for alerting said patient; and

5 an anomaly alerting means for periodically  
reviewing said at least one monitor and for  
actuating said alarm means if a confirmed  
anomaly is detected.

9. The apparatus of Claim 8 further comprising a  
10 hardwired digital integrating rate limit means for  
inhibiting pump actuation when a certain maximum  
dosage envelope is exceeded.



-77-

10. A programmable medication infusion system for providing medication to the living body of a patient, comprising:

5 an infusion apparatus for implantation within a living body, said apparatus including;

a medication reservoir for storing selected medication,

10 a pump means for infusing said selected medication stored in said medication reservoir into said living body,

a delivery means for actuating said pump means in accordance with at least one assigned prescription schedule,

15 a memory means for storing said at least one prescription schedule,

20 a command means coupled to said delivery means and responsive to programming information for selectively assigning a particular prescription schedule stored in said memory to be delivered by said delivery means,

a communication means in association with said command means for receiving a signal carrying said programming information; and,

25 an external programming means, external to said body for transmitting a signal carrying said programming information to said communication



-78-

means, said programming information including a selection code requesting said command means to selectively assign a particular prescription schedule to said delivery means.

5 11. The apparatus of Claim 10, further comprising:

10 a running integral dosage limiting means for summing total volumetric dosage delivered during a most recent shifting time window of preselected length and for inhibiting actuation of said pump means while said sum exceeds in running integral dosage limit.

15 12. The apparatus of Claim 11, wherein each actuation of said pump means delivers a certain volumetric dosage of medication, and wherein said running integral dosage limiting means determines said total volumetric dosage delivered in said shifting time window by summing the number of pump actuations.

20 13. The apparatus of Claim 10, 11, or 12, wherein programming information transmitted by said external programming means and received via said communication means includes said at least one prescription schedule and causes said command means to store said at least one prescription schedule in said memory.

25 14. The apparatus of Claim 13, wherein programming information transmitted by said external programming means and received by said communication means causes said command means to program said running integral dosage limiting means with a running integral dosage  
30 limit.





-79-

15. The apparatus as in Claim 13, wherein said prescription schedule is a sequence of integers with each integer corresponding to the lapse time since that particular prescription schedule was assigned to  
5 said delivery means, said delivery means evaluating each integer in sequence and actuating said pump when actual lapse time equals the lapse time corresponding to that integer presently under evaluation.

16. The apparatus of Claim 13, wherein said pre-  
10 scription schedule is a sequence of binary bits, each bit corresponding to a set time interval, and wherein said delivery means evaluates each bit in sequence moving from the present bit to the next bit each set time interval, actuating said pump if the current bit  
15 is a "1".

17. The apparatus as in Claim 14, wherein said external programming means further comprises:

20 a patient programming unit operable by said patient for transmitting said programming information; and

a medication programming unit operable only by medical personnel for transmitting said programming information.

18. The apparatus of Claim 17, wherein only said  
25 medication programming unit can transmit said programming information containing a running integral dosage limit.



-80-

19. The apparatus of Claim 13, wherein said delivery means further comprising:

5 a basal delivery means for actuating said pump in accordance with an assigned basal prescription schedule; and,

10 a supplemental prescription delivery means for actuating said pump in accordance with at least one assigned supplemental prescription schedule, wherein said basal prescription schedule and said at least one supplemental prescription schedule are stored in said memory, and wherein said command means assigns a basal prescription schedule and at least one supplemental prescription schedule to said delivery means as  
15 directed by said selection code transmitted by said external programming means.

20. The apparatus of Claim 19, wherein programming information transmitted by said external programming means and received via said communication means  
20 contains a basal prescription schedule and causes said command means to store said basal prescription schedule in said memory.

21. The apparatus of Claim 19, wherein programming information transmitted by said external programming means and received via said communication means  
25 contains at least one supplemental prescription schedule and causes said command means to store said at least one supplemental prescription schedule in said memory.



-81-

22. The apparatus of Claim 21, wherein each one of said at least one supplemental prescription schedule is a sequence of integers with each integer value corresponding to the number of time lapse units since that particular supplemental prescription schedule was assigned to said delivery means, said delivery means evaluating each integer in sequence and actuating said pump when actual time lapse equals the time lapse corresponding to that integer presently under evaluation.

23. The apparatus of Claim 22, wherein the value of each of said integers corresponds to the number of minutes of lapse time since the particular supplemental prescription schedule was assigned to said delivery means.

24. The apparatus of Claim 20, wherein said basal prescription schedule is a sequence of binary bits, each bit representing a quarter-hour lapse time since said basal prescription schedule was assigned, wherein said delivery means will actuate the pump during a particular quarter-hour, if the sequence bit corresponding to that particular quarter-hour lapse time is a "1".



-82-

25. The apparatus of Claim 13, wherein said command means further comprises a handshaking means for verifying programming information transmitted by said external programming means, wherein said handshaking means causes the communication means to transmit to said external programming means the received programming information, said external programming means upon receiving and verifying said programming information transmits an execution code, said handshaking means upon receiving a valid execution code, in a timely fashion, instructs said command means to perform the requested selection code.

26. The apparatus of Claim 19, wherein said external programming means further comprises:

a patient programming unit operable by said patient for transmitting programming information; and,

a medication programming unit operable by medical personnel for transmitting said programming information.

27. The apparatus of Claim 26, wherein only said medication programming means can transmit programming information containing a basal prescription schedule.

28. The apparatus of Claim 27, wherein said patient programming unit can transmit programming information containing a prescription parameter which request modification to said basal prescription schedule and wherein said command means in response thereto modifies the basal prescription schedule assigned to said delivery means.

-83-

29. The apparatus of Claim 28, wherein said patient programming unit can transmit information requesting half or full delivery of said basal prescription schedule.

5 30. The apparatus of Claim 26, wherein said patient programming unit can transmit programming information containing a prescription parameter which requests pump inhibition for certain set period of time, and  
10 wherein said command means in response thereto causes said delivery means to inhibit pump actuation for said set period of time.

31. The apparatus of Claim 26, wherein said patient programming unit can transmit programming information requesting a countermand of the most recent programm-  
15 ing information entry, and wherein said command means in response thereto countermands its most recent prescription programming action.

32. The apparatus of Claim 26, wherein said medication programming unit can transmit programming  
20 information which causes said command means to ignore any programming information transmitted by said patient programming unit.

33. The apparatus of Claim 26, wherein only said medication programming unit can transmit programming  
25 information containing a supplemental prescription schedule.



-84-

34. The apparatus of Claim 33, wherein said medication programming unit and said patient programming unit can transmit program information containing a selection code, and wherein said command means in  
5 response to said selection code assigns a particular supplemental prescription schedule previously stored in said memory to said delivery means.

35. The apparatus of Claim 13, wherein said command means further comprises a means for checking an  
10 assigned prescription schedule for programming errors and for alerting said patient to an inappropriate prescription schedule.

36. The apparatus of Claim 12, wherein said running integral dosage limiting means sums the number of  
15 pump actuations occurring in most recent three-hour time period, inhibits pump actuation if said sum exceeds a three-hour running integral dose limit, and wherein programming information transmitted by said external programming means causes said command means  
20 to program a three-hour running integral dosage limit.

37. The apparatus of Claim 12, wherein said running integral dosage limited means sums the number of pump actuations occurring in the most recent 24-hour time  
25 period, inhibits pump actuation if said sum exceeds a 24-hour running integral dosage limit and wherein said programming information transmitted by said external programming means causes said command means to program a 24-hour running integral dosage limit.



-85-

38. The apparatus of Claim 10, 11 or 12 comprising a digital integrating rate limiting means for inhibiting pump actuation when a certain maximum dosage envelope is exceeded.

5 39. The apparatus of Claim 38 further comprising a digital integrating rate limiting means, said digital integrating rate limiting means comprising:

10 a pump monitor means for providing a pulse each time said pump means delivers a certain volumetric dosage of medication,

a clock capable of delivering N pulses per hour; and

15 an updown counter capable of storing M counts, operably connected to said clock and said pump monitor means, said updown counter initially set at M counts, each pulse from said pump monitor means reduces said counter by one count, each pulse from said clock increases said counter by one count up to the maximum M counts, and where-  
20 in said updown counter inhibits pump actuation when said counter is zero.

40. The apparatus of Claim 39, wherein said pump monitor means provides a pulse each time said pump means is activated and actually delivers medication.



-86-

41. The apparatus of Claim 39, wherein the maximum storage capacity of said updown counter is programmable, and wherein programming information transmitted by said external programming means contains a prescription parameter which causes said command means to program said updown counter with a maximum storage capacity.

42. The apparatus of Claim 39, wherein the pulse per hour rate produced by said clock is programmable, and wherein programming information transmitted by said external programming means contains a prescription parameter which causes said command means to program said clock to deliver a particular pulse per hour rate.

43. The apparatus of Claim 10, 11, or 12 further comprising a data recording means operably connected to said command means and said communication means for recording utilization data and monitoring and recording performance of said medication infusion system.

44. The apparatus of Claim 43, wherein programming information transmitted by said external programming unit causes said command means to actuate said communication means to transmit to an external receiver data records recorded by said data recording means.

45. The apparatus of Claim 43, wherein said data recording means records the number of pump actuations.





-87-

46. The apparatus of Claim 43, wherein said data recording means records the number of times programming information specifies a particular selection code.

5 47. The apparatus of Claim 43, wherein said data recording means records the number of times programming information requesting half or full basal delivery was received.

10 48. The apparatus of Claim 43, wherein said data recording means records the number of times programming information requested pump inhibition.

15 49. The apparatus of Claim 43, wherein said data recording means records the number of times programming information requests a countermand of a current directive.

50. The apparatus of Claim 43, wherein said data recording means records the number of unverifiable or inappropriate selection codes, received by said communication means.

20 51. The apparatus of Claim 43, wherein said data recording means further comprises a means for monitoring and recording the extent of reservoir fill.

25 52. The apparatus of Claim 43, wherein said data recording means further comprises a means for monitoring and recording actual pump actuation.



-88-

53. The apparatus of Claim 43, wherein said data recording means further comprises a means for monitoring fluid flow.

5 54. The apparatus of Claim 43, wherein said data recording means further comprises a means for monitoring and recording moisture in various parts of said medication infusion system.

10 55. The apparatus of Claim 43, wherein said data recording means periodically records data from a fluid system monitoring means.

56. The apparatus of Claim 10, 11, or 12, further comprising:

at least one monitor for detecting an anomaly in the medication infusion system;

15 an alarm means; and

an anomaly alert means for periodically reviewing said at least one monitor and for actuating said alarm means if an anomaly is detected.

20 57. The apparatus of Claim 56, wherein said anomaly monitor detects the presence of moisture in a particular portion of said medication infusion system.

58. The apparatus of Claim 56, wherein said anomaly monitor detects when said reservoir is empty.



-89-

59. The apparatus of Claim 56, wherein said anomaly monitor detects when said reservoir is too full.

5 60. The apparatus of Claim 56, wherein said anomaly monitor counts the number of actual pump actuations, and counts the number of times the delivery means requests an actuation of said pump means, and generates an alert signal when there is a discrepancy between said actual pump actuation count and said delivery means requested actuation count.

10 61. The apparatus of Claim 56, wherein said anomaly alerting means further comprises a means for checking prescription schedules stored in said command means, and determining if said prescription schedules have been altered.

15 62. The apparatus of Claim 56, wherein said anomaly alert means confirms an anomaly by requiring two consecutive anomaly reports from said at least one monitor before actuating said alarm means.

20 63. The apparatus of Claim 56, wherein said alarm means generates an audio signal.

64. The apparatus of Claim 56, wherein said alarm means generates a subcutaneous electrical stimulation.

25 65. The apparatus of Claim 10, 11, or 12, wherein said command means further comprises an operator error determining means for actuating an alarm means when an operator error is detected.



-90-

66. The apparatus of Claim 65, wherein said alarm means generates a subcutaneous electrical stimulation.

5 67. The apparatus of Claim 65, wherein said alarm means generates an audio alarm.

68. The apparatus of Claim 65, wherein said operator error determining means actuates an alarm when said command means attempts to assign a supplemental prescription schedule having an improper format.

10 69. The apparatus of Claim 65, wherein said operator error determining means actuates said alarm means when programming information transmitted by said external programming means causes said command means to perform certain unusual operations.

15 70. The apparatus of Claim 69, wherein said operator error determining means actuates an alarm means when programming information requesting half basal rate is transmitted by said external programming means.

20 71. The apparatus of Claim 69, wherein said operator error determining means actuates an alarm means when programming information requesting pump inhibition is transmitted by said external programming means.

25 72. The apparatus of Claim 69, wherein said operator error detects determining means actuates said alarm means when programming information requesting a return to a full basal rate delivery is transmitted by said external programming means.



-91-

73. The apparatus of Claim 69, wherein said operator error determining means actuates said alarm means when programming information requests a countermand of current directives.

5 74. The apparatus of Claim 65, wherein medical staff, using said external programming means can transmit programming information which directs said operator error determining means to disregard certain types of anomalies.

10 75. A medication infusion system having a controller to actuate a pump thereby delivering medication to a patient, said controller comprising:

15 a delivery means for actuating said pump in accordance with a selectable dosage schedule; and,

a limiting means for monitoring medication delivery and for inhibiting pump actuation when said medication delivery exceeds a selectable dosage limit.

20 76. The apparatus of Claim 75, wherein said limiting means further comprising:

25 a running integral dosage limiting means for summing total volumetric dosage delivered during the most recent shifting time window of pre-selected length and for inhibiting actuation of said pump while said sum exceeds a running integral dosage limit.



-92-

77. The apparatus of claim 76, wherein each actuation of said pump delivers a certain volumetric dosage of medication, and wherein said running integral dosage limiting means determines said total  
5 volumetric dosage delivered in said shifting time window by summing the number of pump actuations.

78. The apparatus of Claim 76 or 77 wherein said running integral dosage limiting means is programmable, and inhibits actuation of said pump while said  
10 sum exceeds a programmable running integral dosage limit.

79. The apparatus of Claim 78 wherein said running integral dosage limit is programmable by medical personnel and not selectable by said patient.

15 80. The apparatus of Claim 75 wherein said limiting means further comprising:

a digital integrating rate limiting means for inhibiting pump actuation when a certain maximum dosage envelope is exceeded.



-93-

81. The apparatus of Claim 75 wherein said limiting means further comprising a digital integrating rate limiting means, said digital integrating rate limiting means comprising:

- 5           a pump monitor means for providing a pulse each time said pump means delivers a certain volumetric dosage of medication;
- a clock capable of delivering N pulses per hour; and,
- 10          an updown counter capable of storing M counts, operably connected to said clock and said pump monitor means, said updown counter initially set at M counts, each pulse from said pump monitor means reduces said updown counter by one count,
- 15          each pulse from said clock increases said updown counter by one count up to the maximum M counts, and wherein said updown counter inhibits pump actuation when said count is zero.



-94-

82. The apparatus of Claim 81, wherein each actuation of said pump means delivers a certain volumetric dosage of medication, and wherein said pump monitor provides a pulse for each actuation of  
5 said pump means.

83. The apparatus of Claim 81, where the maximum storage capacity M of said updown counter is programmable.

84. The apparatus of Claim 81 wherein the pulse per  
10 hour rate N produced by said clock is programmable.

85. The apparatus of Claim 83 or 84 wherein said maximum storage capacity M and said rate N are programmable by medical personnel and are not selectable by said patient.





-95-

86. A medication infusion system having a controller to actuate a pump thereby delivering medication to a patient, said controller comprising:

a microprocessor;

5 a communication means operably connected to said microprocessor for programming said microprocessor to deliver medication in accordance with selected prescription parameters;

10 a pump means operably controlled by said microprocessor for selectively delivering medication to said patient;

15 a memory means operably associated with said microprocessor for storing prescription parameters and software instructions, wherein said software instruction include:

a delivery state subroutine for causing said microprocessors to actuate said pump in accordance with a selected prescription schedule if a dosage rate limit has not been exceeded.



-96-

87. The apparatus of Claim 86 further comprising:

5 an interrupt subroutine for enabling said micro-processor to read into said memory means prescription parameters from said communications means, said prescription parameters include an at least one prescription schedule and a selection code, wherein said selection code causes said interrupt subroutine to assign a particular one of said at least one prescription schedule to be processed by said delivery state subroutine.

10

88. The apparatus of Claim 86 or 87, wherein said delivery state subroutine contains the following step to determine if a dosage rate limit has not been exceeded:

15

summing the number of pump actuations occurring during the most recent shifting time window of preselected length, each pump actuation delivering a certain volumetric dosage of medication; and, inhibiting actuation of said pump while said sum exceeds a running integral dosage limit.

20



-97-

89. A method of infusion medication into a patient, wherein a controller is programmable to actuate a pump in accordance with a prescription schedule, said method comprising the steps of:

- 5            recording at least one prescription schedule in a memory associated with said controller;
- selecting a particular one of said at least one prescription schedules to be delivered by said controller;
- 10           delivering medication in accordance with said selected prescription schedule, wherein said controller actuate said pump at the appropriate times indicated in said selected prescription
- schedules, said pump causing a certain volumetric dosage of medication to be delivered with
- 15           each actuation of said pump;
- summing the number of pump actuations occurring during the most recent shifting time window of preselected length; and,
- 20           inhibiting actuation of said pump while said sum exceeds a running integral dosage limit.

90. The method of Claim 89 wherein said running integral dosage limit is set prior to assembly.

91. The method of Claim 89 wherein said running
- 25           integral dosage limit is set by attending medical staff.



-98-

92. The method of Claim 89 wherein said running integral dosage limit is programmable.

93. The method of Claim 92 further comprising the step of:

5           programming said running integral dosage limit, wherein said programming step is restricted so that only attending medical staff can program said running integral dosage limit.

10           94. The method of Claim 89, wherein said attending medical staff can record said at least one prescription schedule and said patient or said attending medical staff can select a particular prescription schedule to be delivered.

15           95. The method of Claim 89, further comprising the step of, inhibit pump actuation when a certain maximum dosage envelope is exceeded.



-99-

96. The method of Claim 95, wherein said step of inhibiting pump actuation when a maximum dosage envelope is exceeded, further comprising the steps of:

5            setting an updown counter with a maximum M counts;

             subtracting one count from said updown counter each time said pump is actuated;

10           adding one count to said updown counter at a clocking rate of N counts per hour until said updown counter reaches said maximum count of M; and,

             inhibiting pump actuation while said updown counter has a count of zero.

15        97. The method of Claim 96 wherein said maximum count M, and said clocking rate of N counts per hour, are set prior to assembly of said programmable medication infusion systems.

20        98. The method of Claim 96 wherein said maximum count M, and said clocking rate of N counts per hour, are set by the attending medical staff.

99. The method of Claim 96, wherein said maximum count M, and said clocking rate of N counts per hour, are programmable.



-100-

100. The method of Claim 99, further comprising the step of programming said maximum count M and said clocking rate of N counts per hour, wherein said step is restricted so that only attending medical staff can program said maximum count M and said clocking rate of N counts per hour.

101. A method for limiting the amount of medication delivered to a patient by a programmable medication infusion system, wherein said programmable medication infusion system actuates a pump in accordance with programmable prescription parameters, said method comprising the steps of:

summing total volumetric dosage delivered during the most recent shifting time window of pre-selected length; and,

inhibiting actuation of said pump while said sum exceeds a running integral dosage limit.

102. The method of Claim 101, wherein each actuation of said pump delivers a certain volumetric dosage of medication, and said step of summing total volumetric dosage is obtained by summing the number of pump actuations during said shifting time window.

103. The method of Claim 101 wherein said running integral dosage limit is set prior to assembly of said programmable medication infusion system.

104. The method of Claim 101 wherein said running integral dosage limit is set by attending medical staff.



-101-

105. The method of Claim 101 wherein said running integral dosage limit is programmable.

106. The method of Claim 101 further comprises the step of programming said running integral dosage limit, wherein said step is restricted so that only attending medical staff can program said running integral dosage limit.

107. A method for limiting the amount of medication delivered to a patient by a programmable medication infusion system, wherein said programmable medication infusion system actuates the pump in accordance with programmable prescription parameters, said method comprising the step of:

setting an updown counter with a maximum M count;

subtracting one count from said updown counter each time said pump causes a certain volumetric dosage of medication to be delivered;

adding one count to said updown counter at a clocking rate of N counts per hour until said updown counter reaches a maximum count of M; and,

inhibiting pump actuation while said updown counter has a count of zero.



-102-

108. The method of Claim 107, wherein each actuation of said pump delivers a certain volumetric dosage of medication, and wherein said step of subtracting involves subtracting one count from said updown  
5 counter for each pump actuation.

109. The method of Claim 107 or 108, wherein said maximum count M and said clocking rate of N counts per hour are set prior to assembly of said programmable medication infusion systems.

110. The method of Claim 107 or 108, wherein said maximum count M and said clocking rate of N counts per hour are set by attending medical staff.

111. The method of Claim 107 or 108, wherein said maximum count M and said clocking rate of N counts  
15 per hour are programmable.

112. The method of Claim 107 further comprising the step of programming said maximum count M and said clocking rate of N counts per hour, wherein said programming step is restricted so that only attending  
20 medical staff can program said maximum count M and said clocking rate of N counts per hour.

113. The method of Claim 89, 101 or 107, wherein said medication infusion system is implanted in said patient.

114. The method of Claim 89, 101 or 107, wherein said medication infusion system is external to said patient.





-103-

115. The apparatus of Claim 75, wherein said limiting means is selectively adjustable so that only attending medical staff can set said dosage limit and not said patient.

5 116. The apparatus of Claim 75, wherein said limiting means further contains a control means for setting said dosage limit, said control means selectively operable by said attending medical staff and not operable by said patient.

10 117. The apparatus of Claim 75, wherein said delivery means further comprising:

a memory;

15 a first control means operably associated with said memory and selectively operable by attending medical staff for storing a plurality of dosage schedules in said memory;

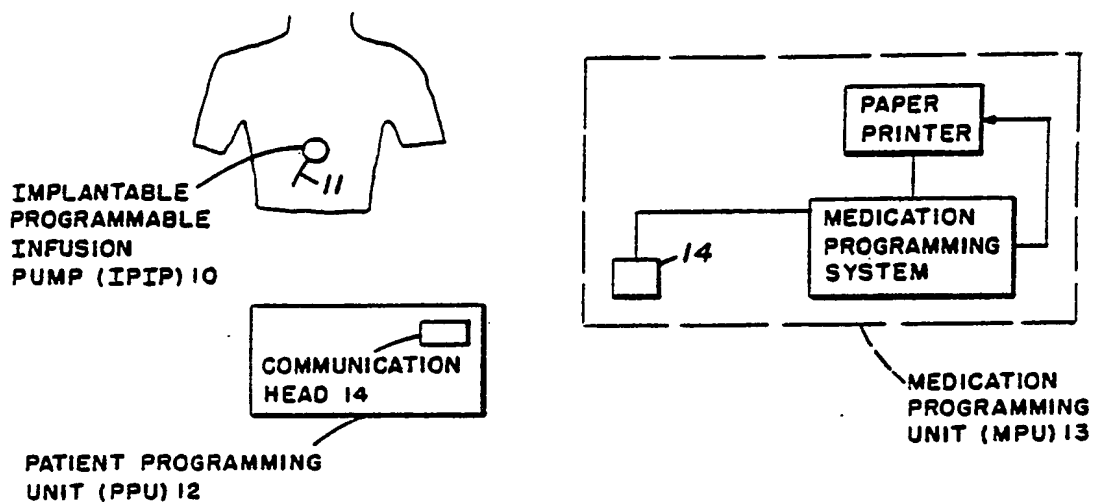
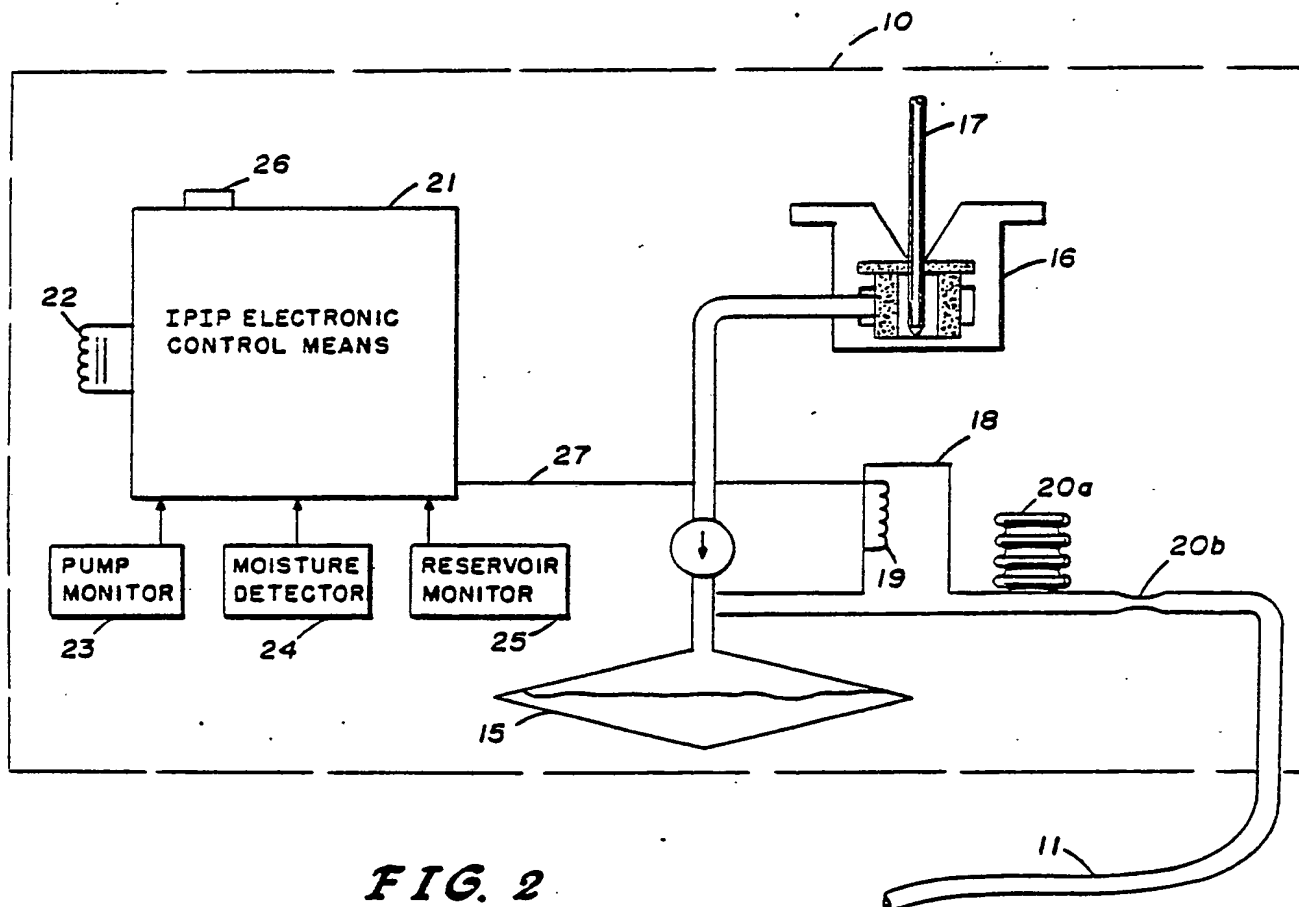
20 a second control means operably associated with said memory and operable by said patient for selecting a dosage schedule stored in memory, wherein said delivery means activates said pump in accordance with said selected dosage schedule.

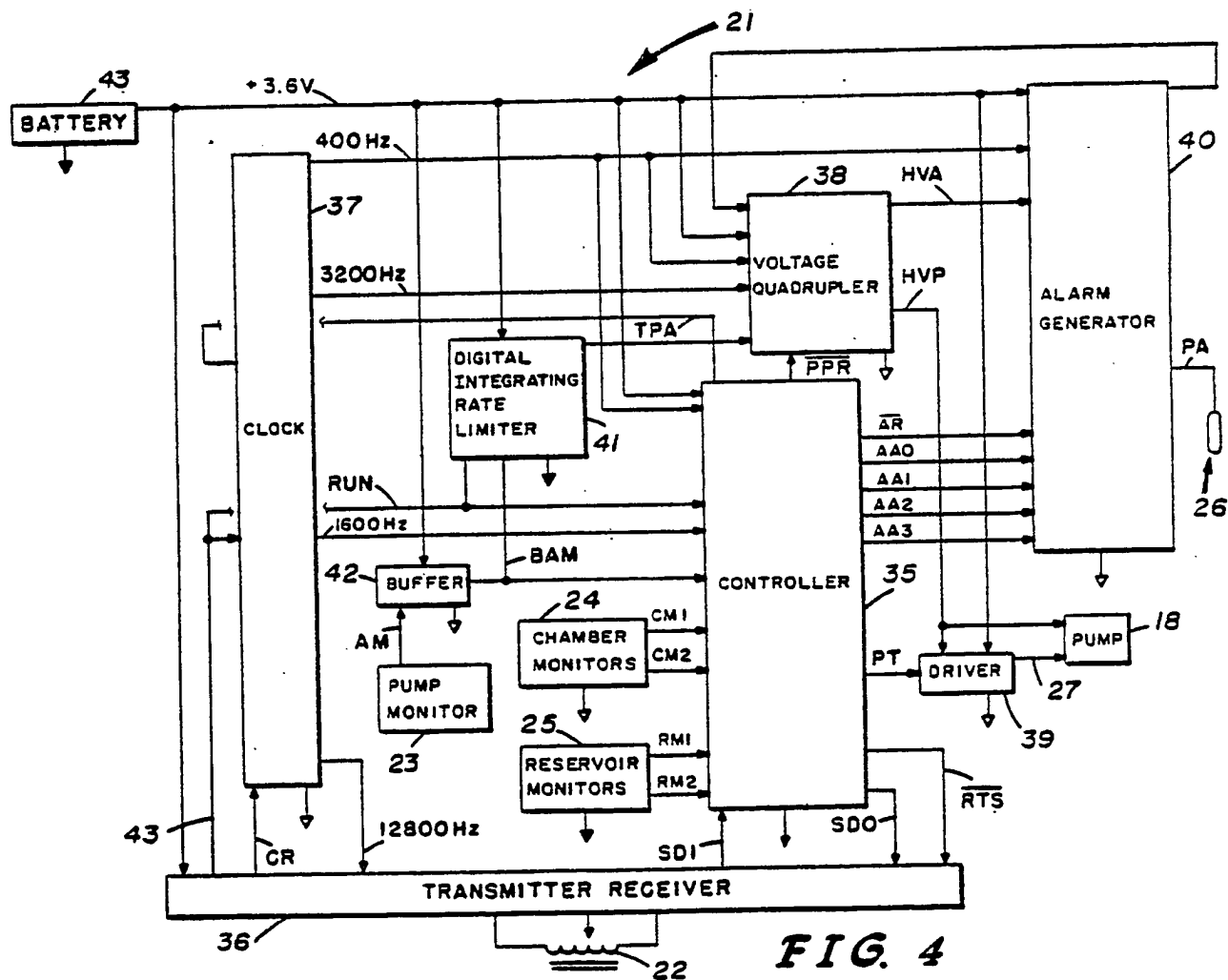
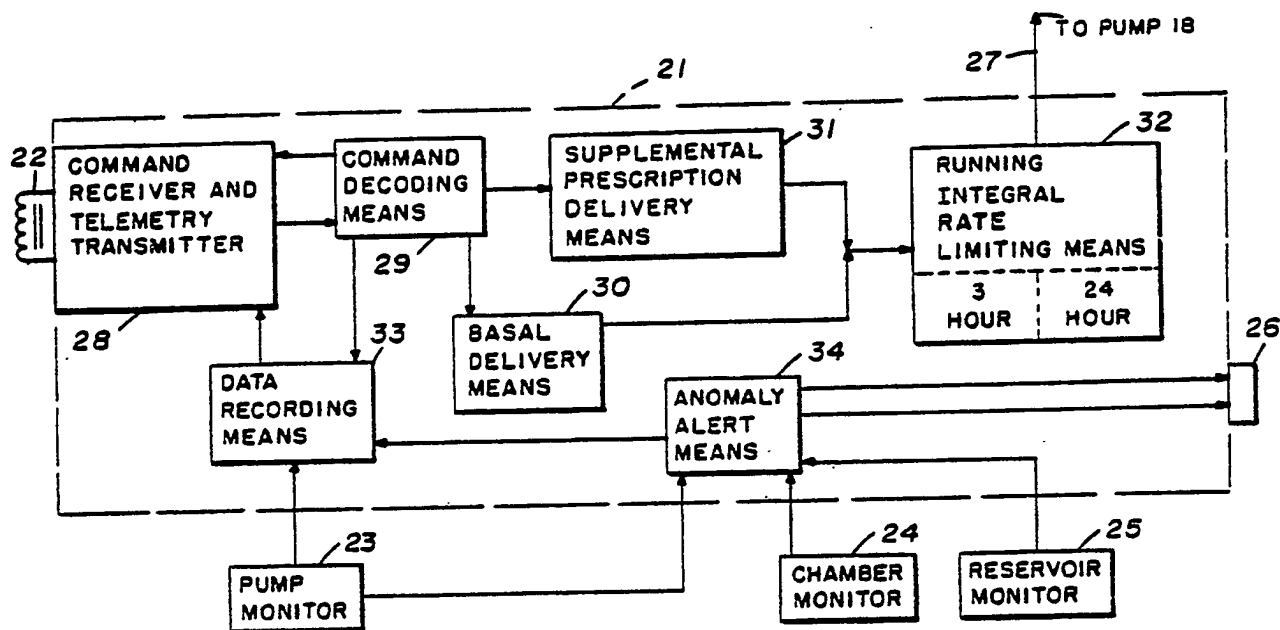


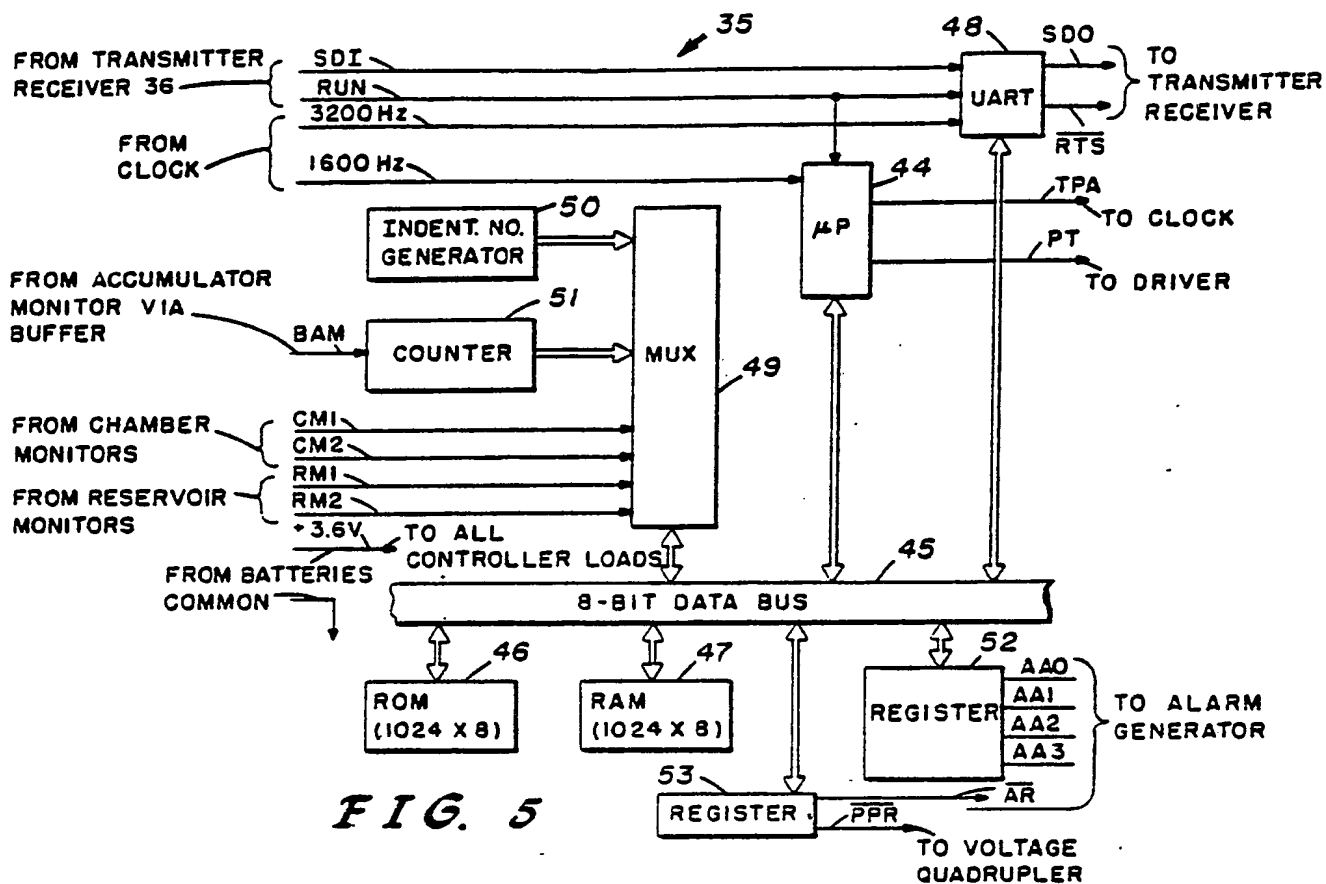
-104-

118. The apparatus of Claim 75, wherein said delivery means further comprising a means operably controlled by attending medical staff for selecting a predetermined dosage schedule, said predetermined dosage  
5 schedule causing said pump to be actuated in accordance with a certain sequence; and, a control means operably controlled by said patient for modifying said sequence of pump actuations within certain predetermined limits.



**FIG. 1****FIG. 2**





CATEGORY	ITEM DESCRIPTION	RAM ALLOCATION	
		NUMBER OF BYTES	CATEGORY SUBTOTAL
PARAMETER DEFINITION	SUPPLEMENTAL MEDICATION DELIVERY SCHEDULES	192	251
	BASAL MEDICATION DELIVERY SCHEDULE	12	
	3-HOUR DOSE LIMIT	1	
	24-HOUR DOSE LIMIT	1	
	ALARM AMPLITUDE	1	
	INITIALIZATION	44	
UTILIZATION RECORD	HOURLY PUMP RESPONSE COUNTS	480	534
	CUMULATIVE PUMP RESPONSE COUNTS	2	
	DAILY PUMP STIMULUS COUNT	20	
	CUMULATIVE PUMP STIMULUS COUNT	2	
	CUMULATIVE DIRECTIVE ACCEPTANCE COUNTS	16	
	CUMULATIVE DIRECTIVE REJECTION COUNTS	10	
	CUMULATIVE LIMIT COUNT	2	
	CUMULATIVE INHIBIT COUNT	2	
WORKSPACE	MISCELLANEOUS	239	239
TOTAL RAM CAPACITY		1024	1024

**FIG. 6**

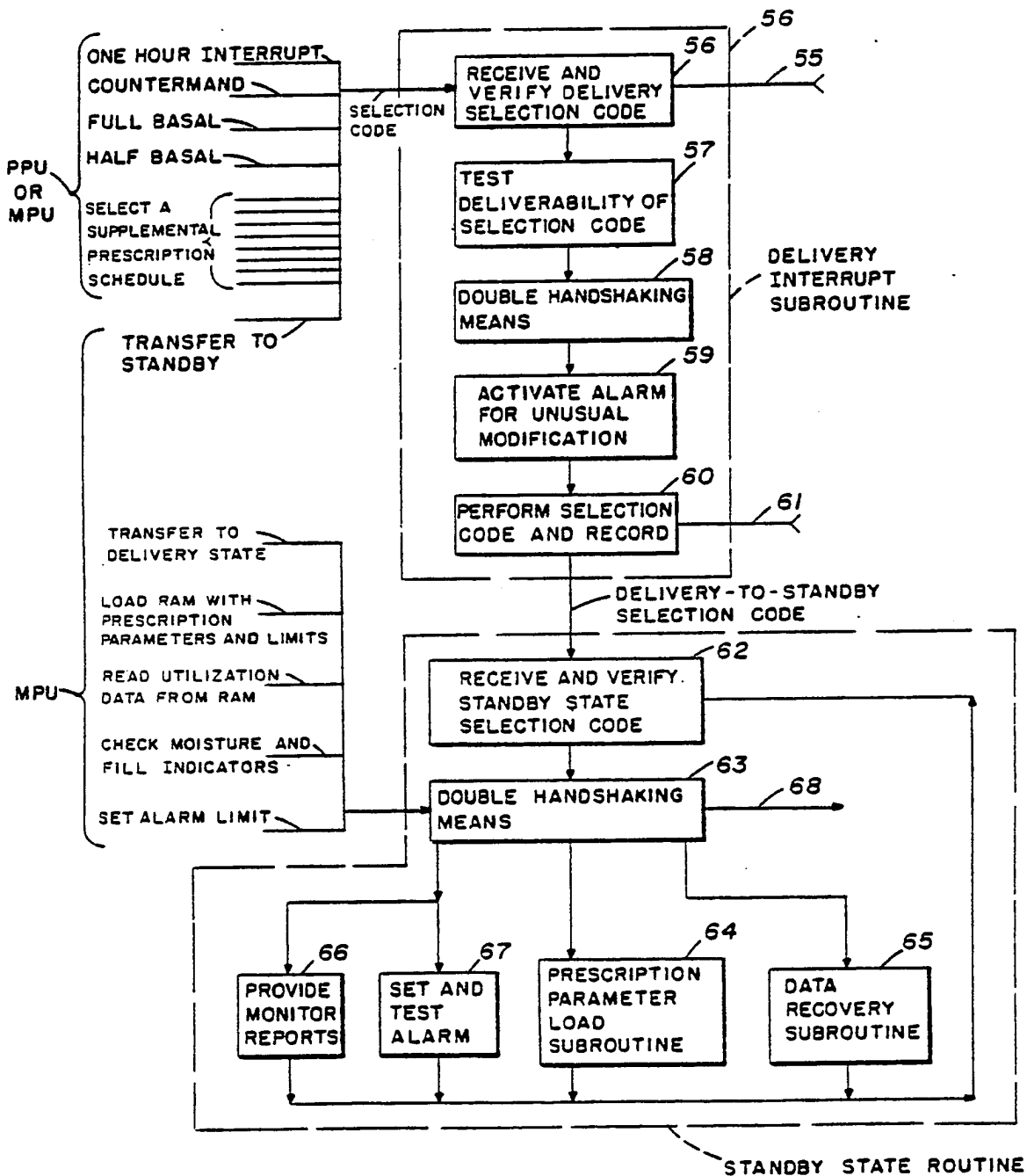


FIG. 1

SUBSTITUTE SHEET

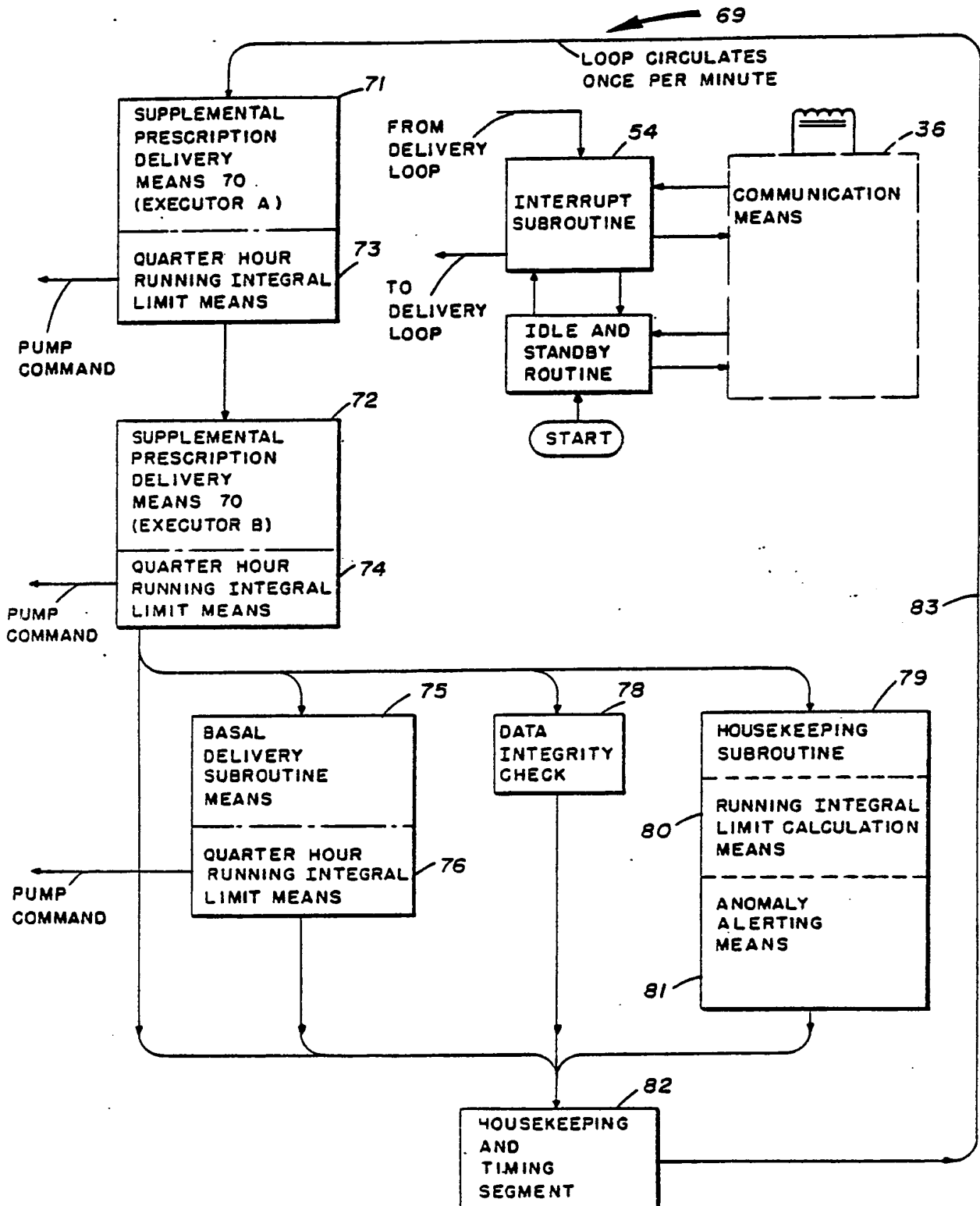


FIG. 8

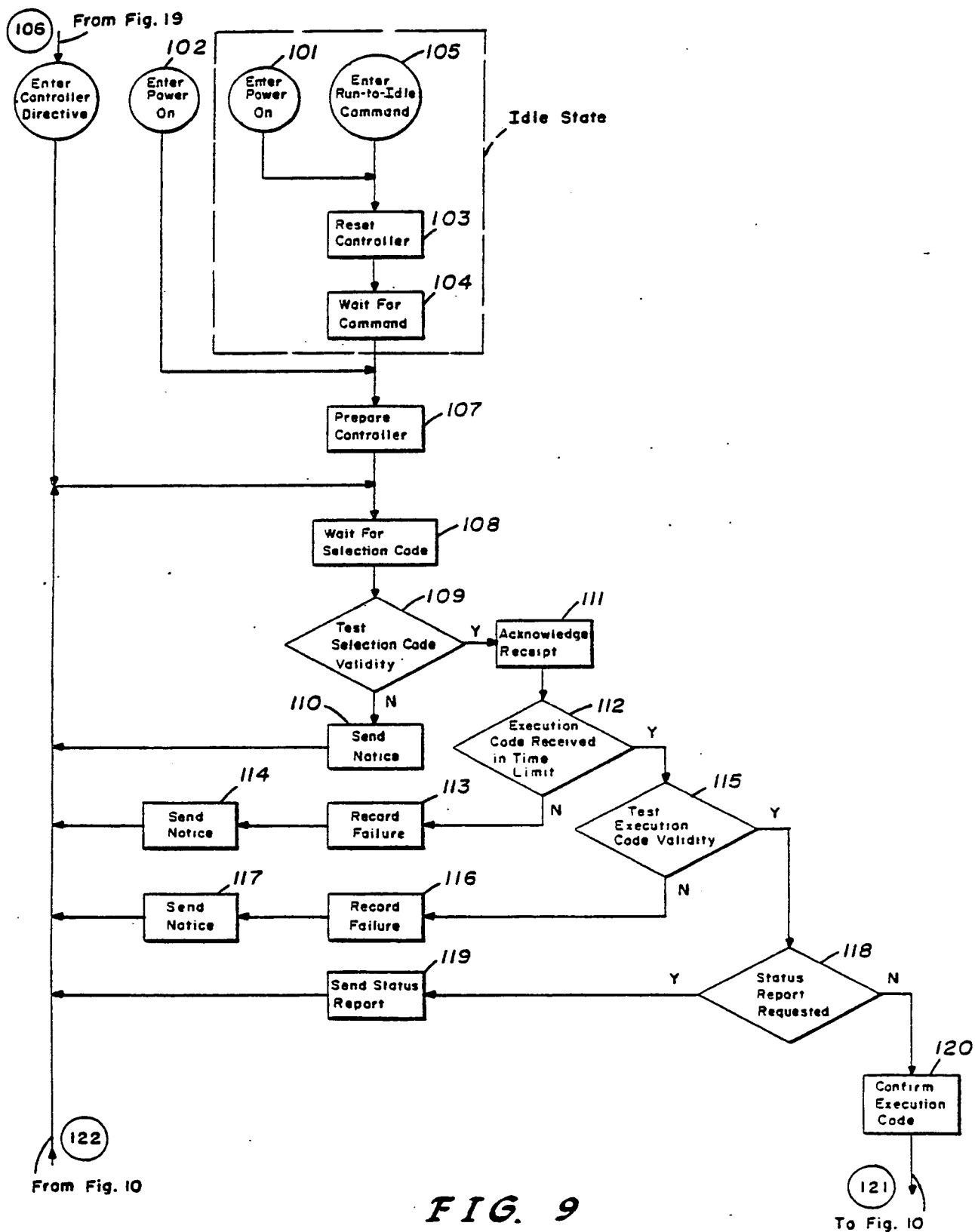


FIG. 9



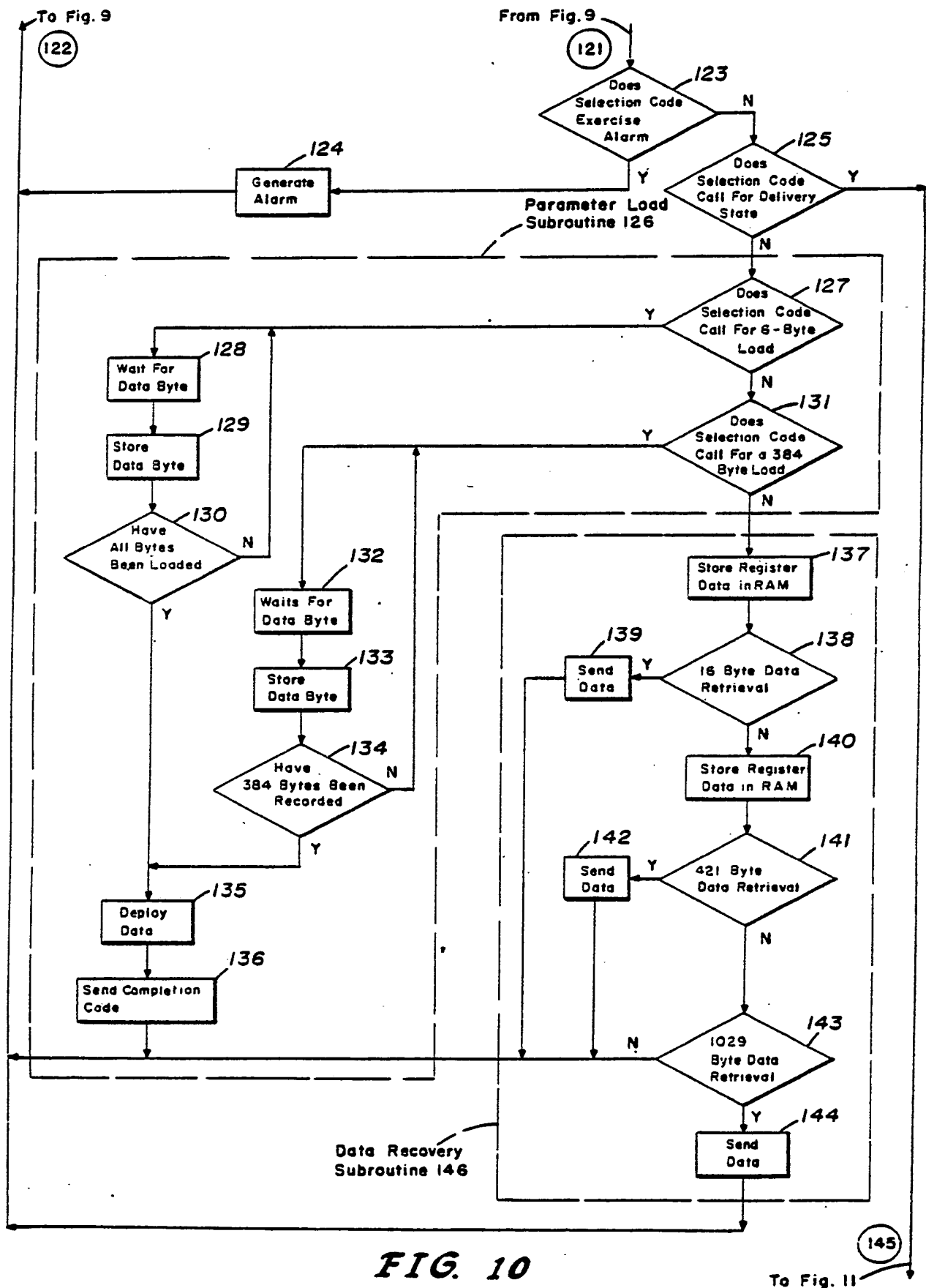


FIG. 10

To Fig. 11

FIG. 11

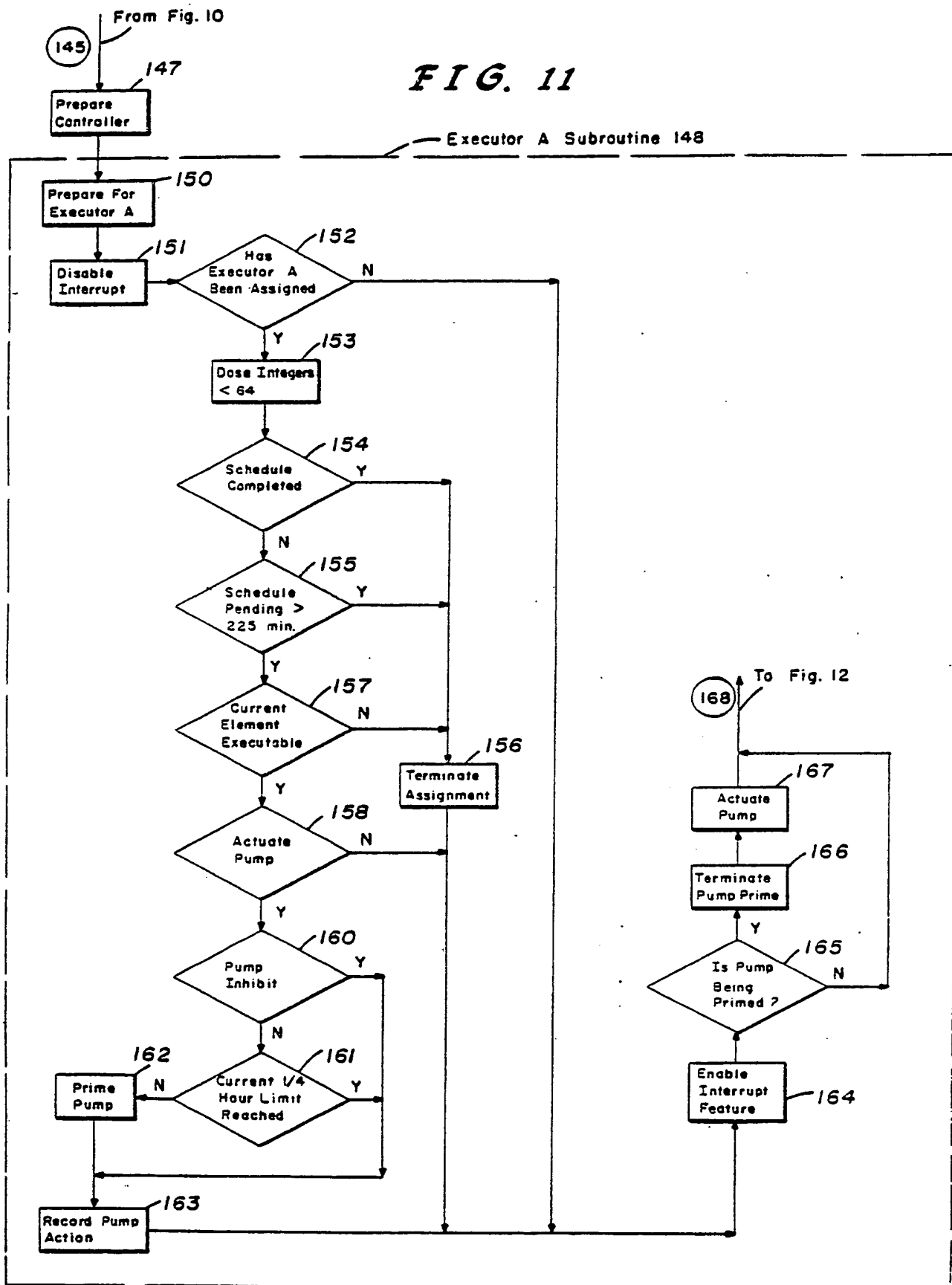
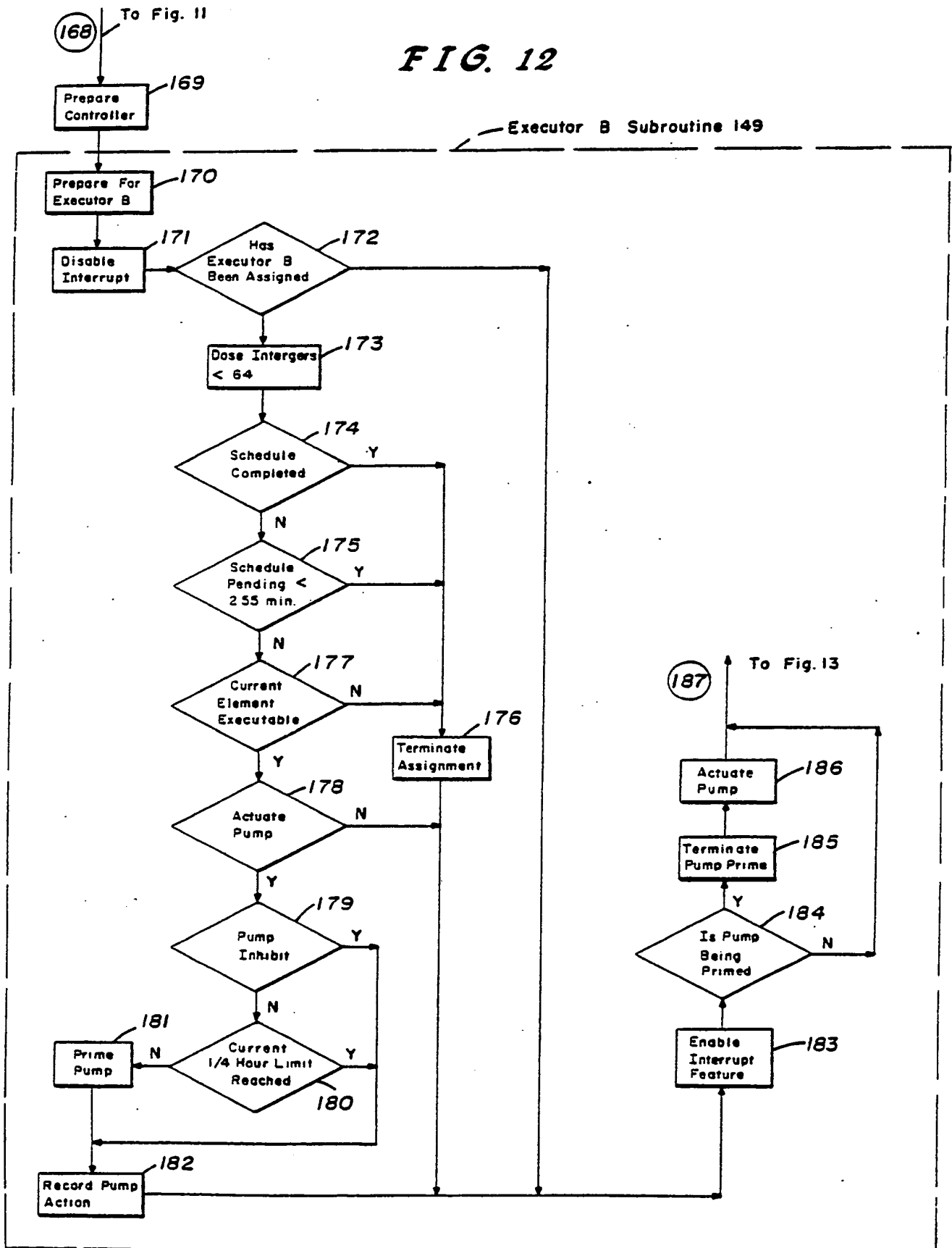


FIG. 12



10/18

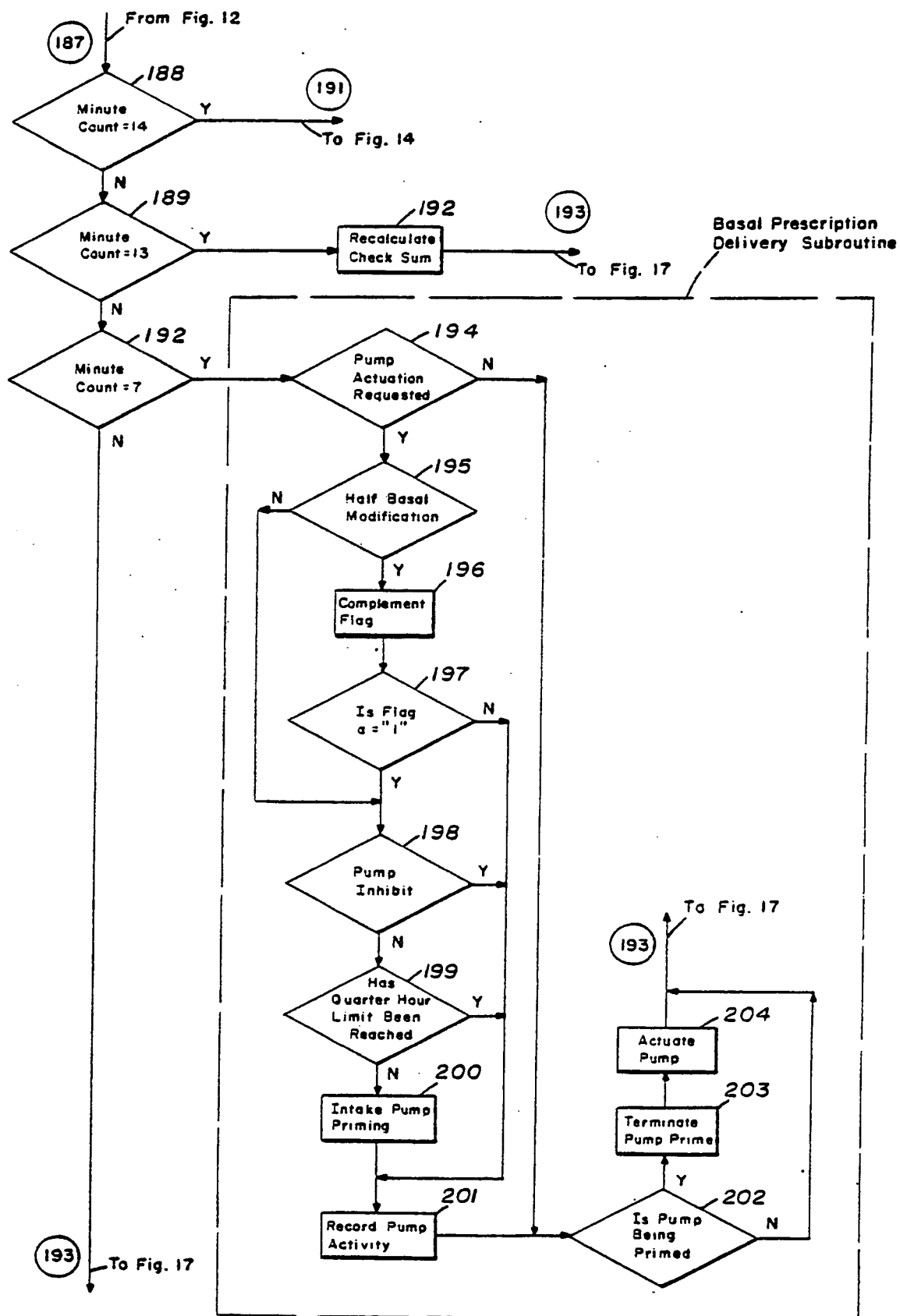


FIG. 13

11/18

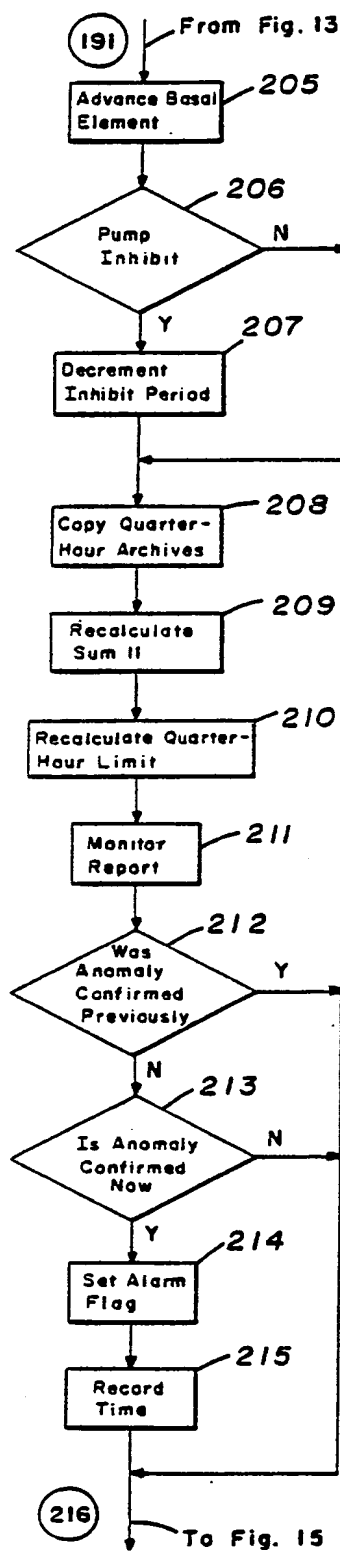


FIG. 14

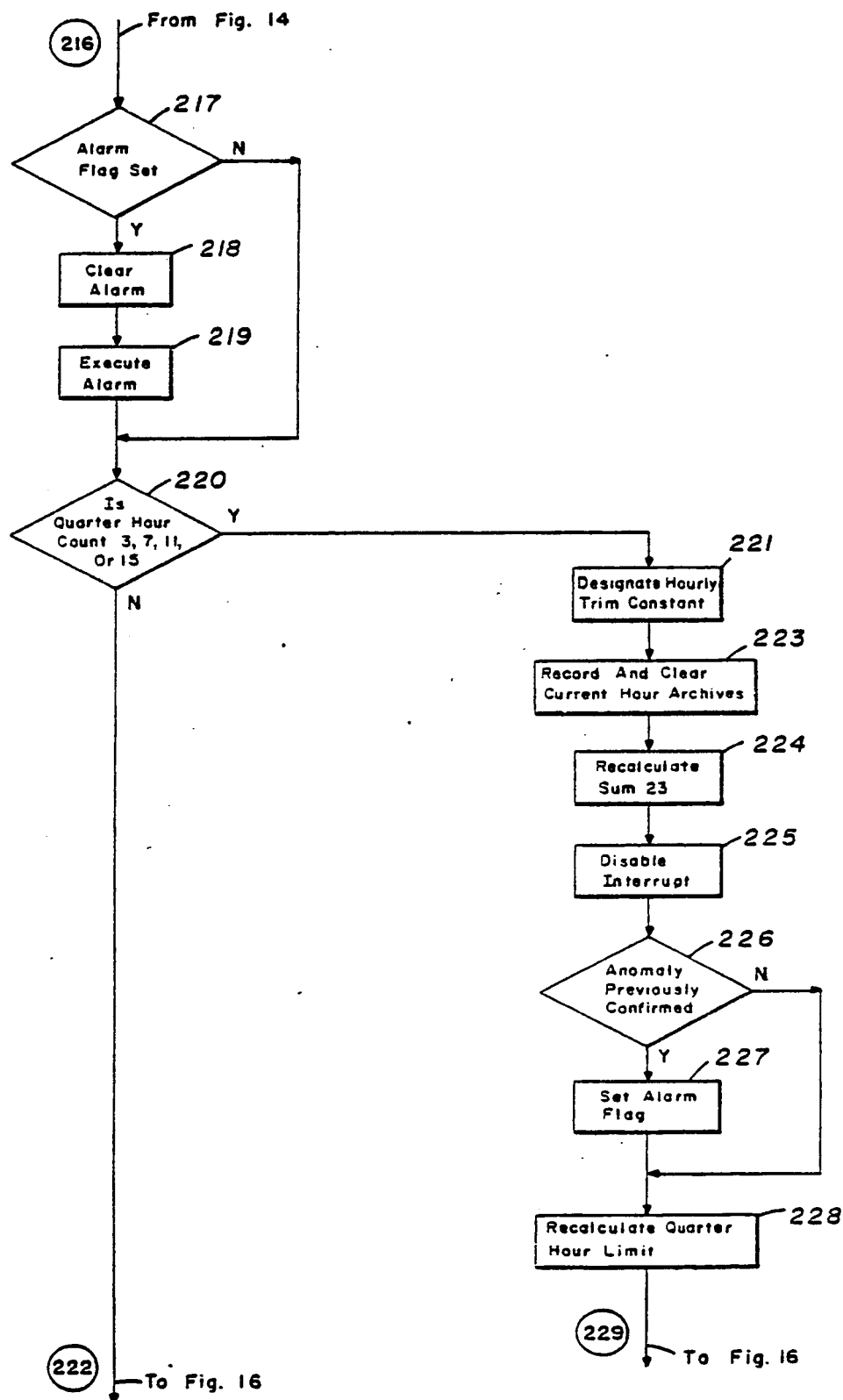


FIG. 15

SUBSTITUTE SHEET

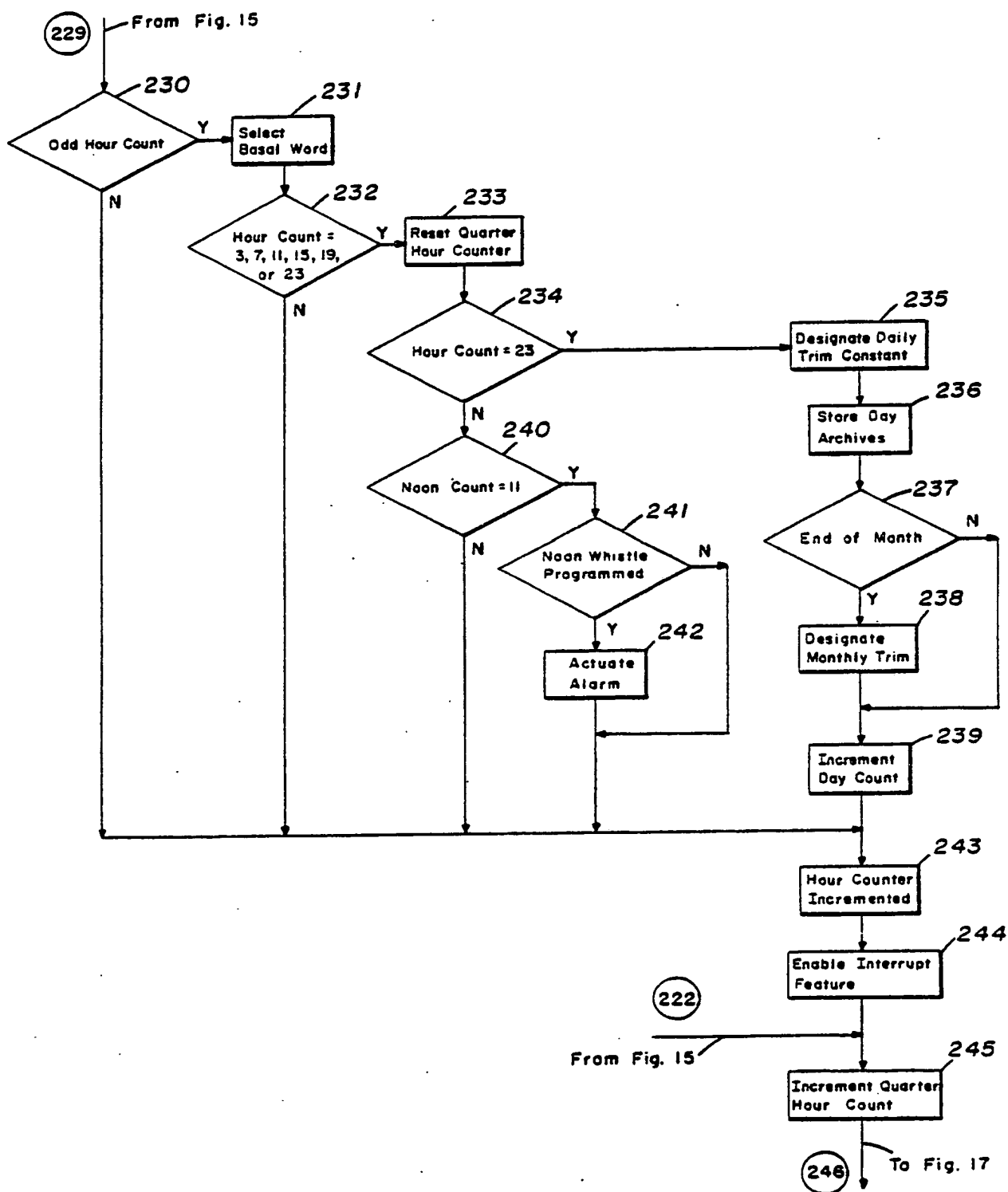


FIG. 16

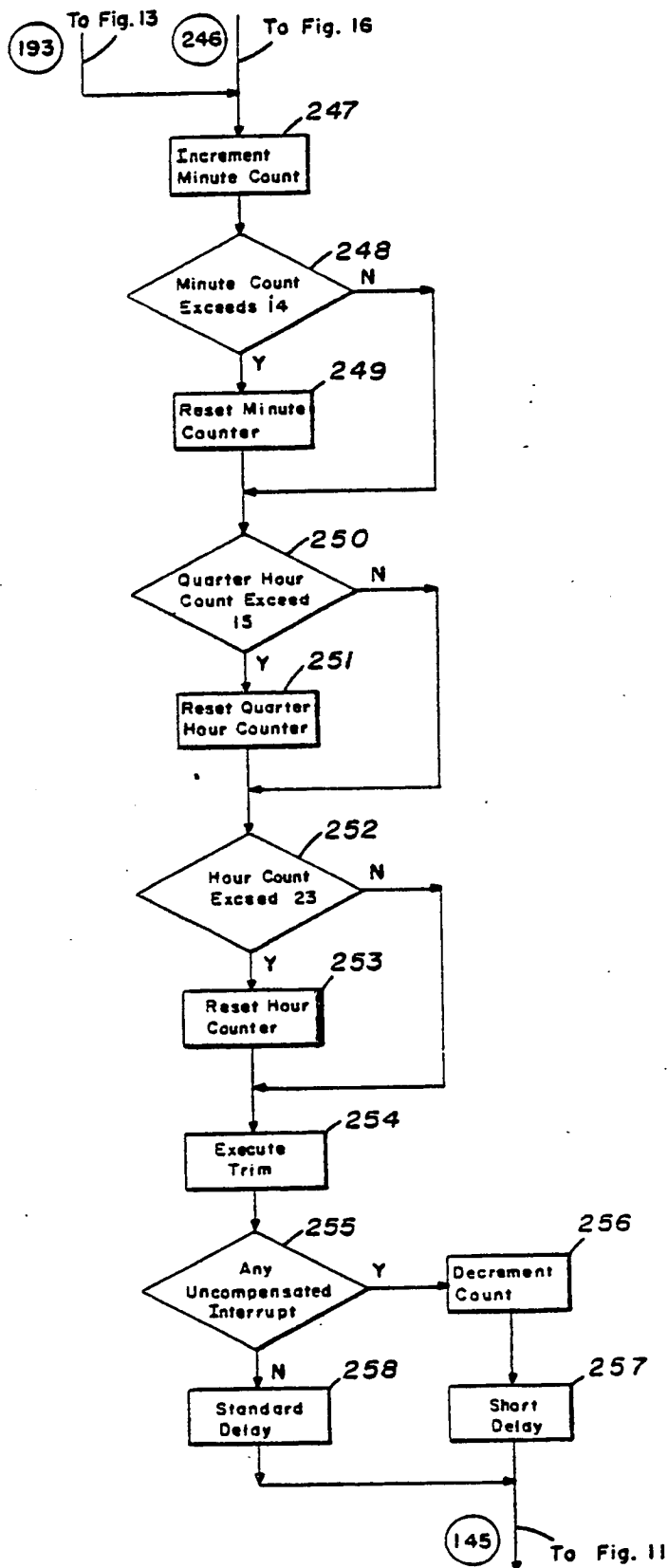


FIG. 17

SUBSTITUTE SHEET





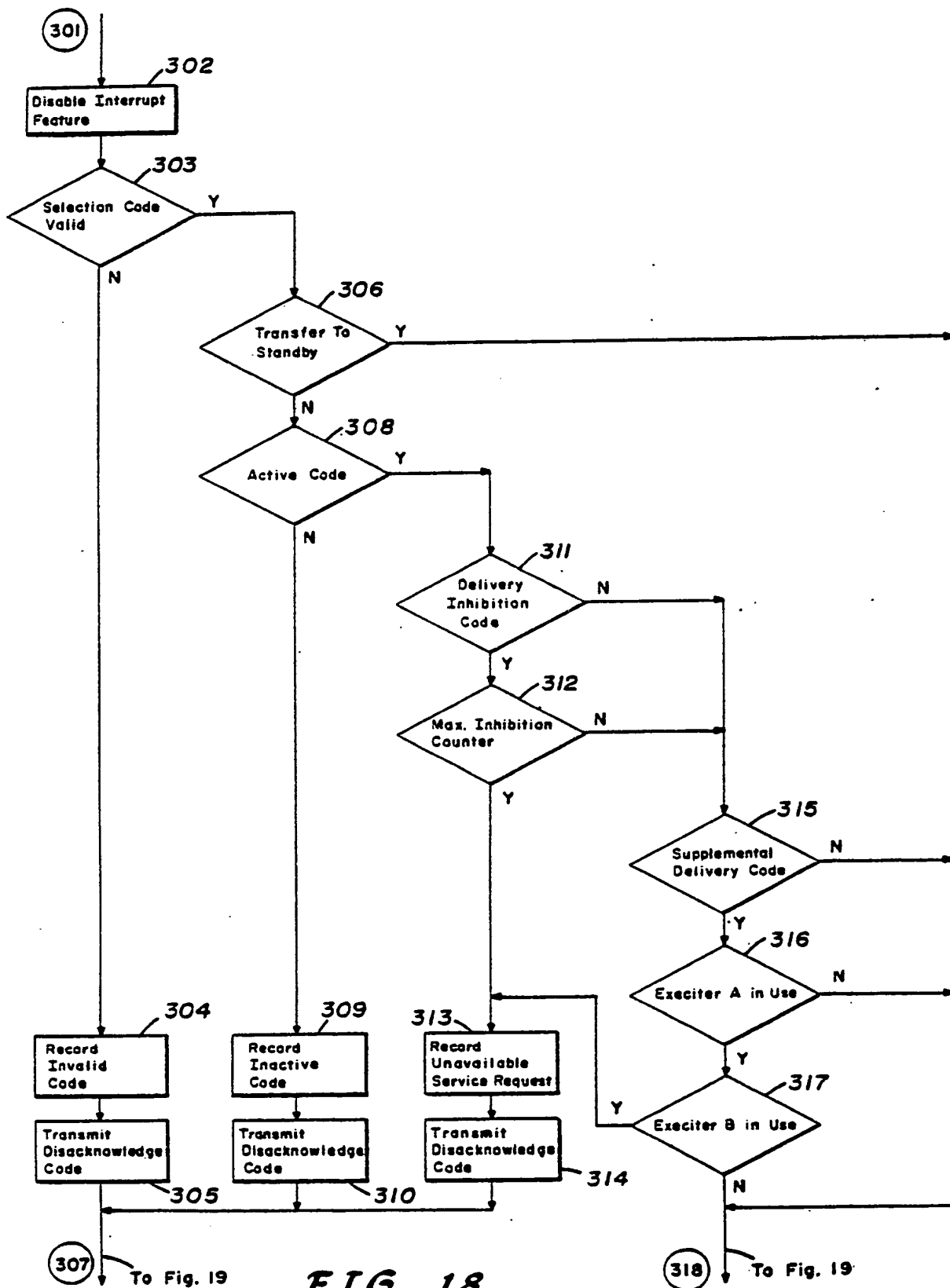


FIG. 18

SUBSTITUTE SHEET



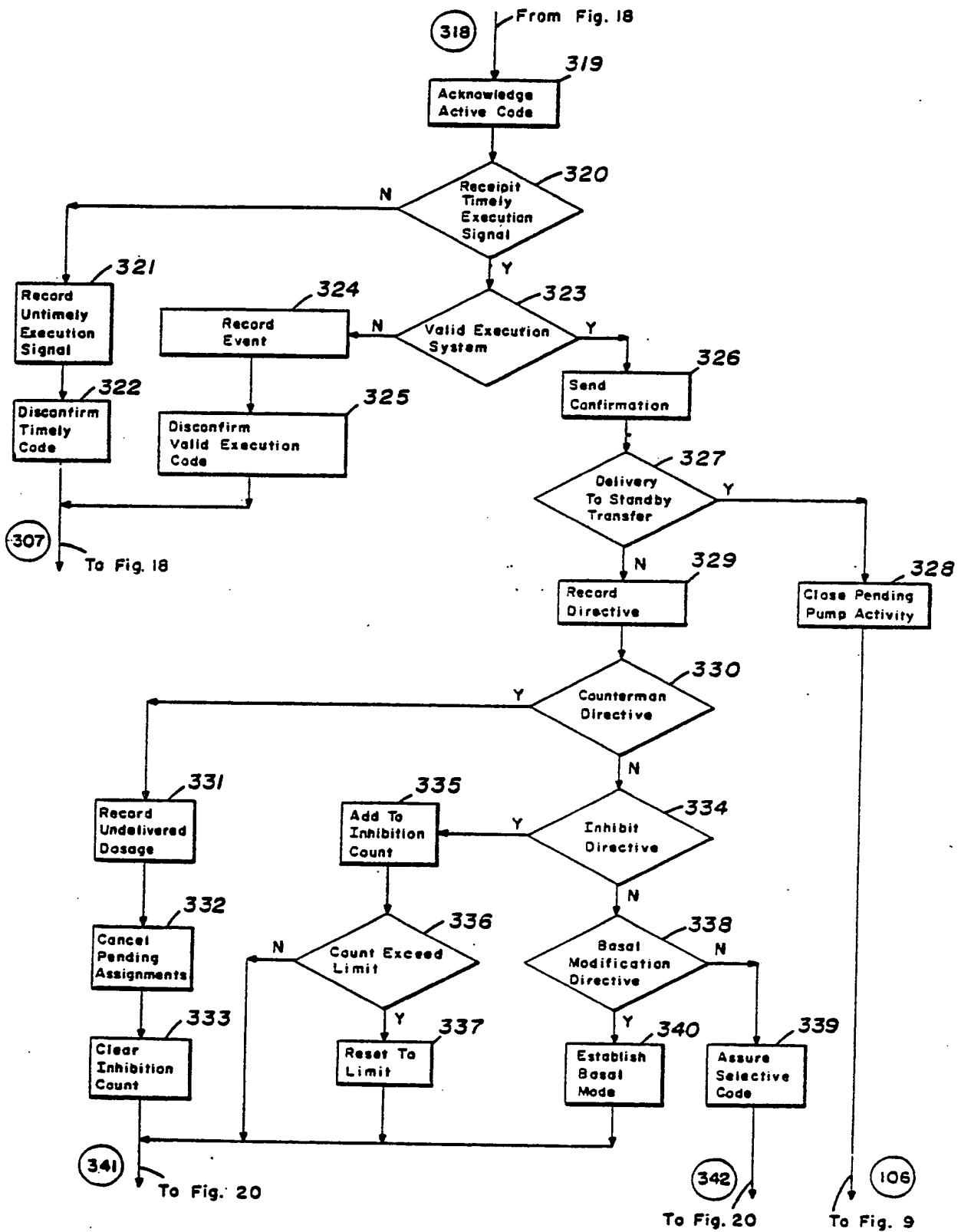


FIG. 19

SUBSTITUTE SHEET



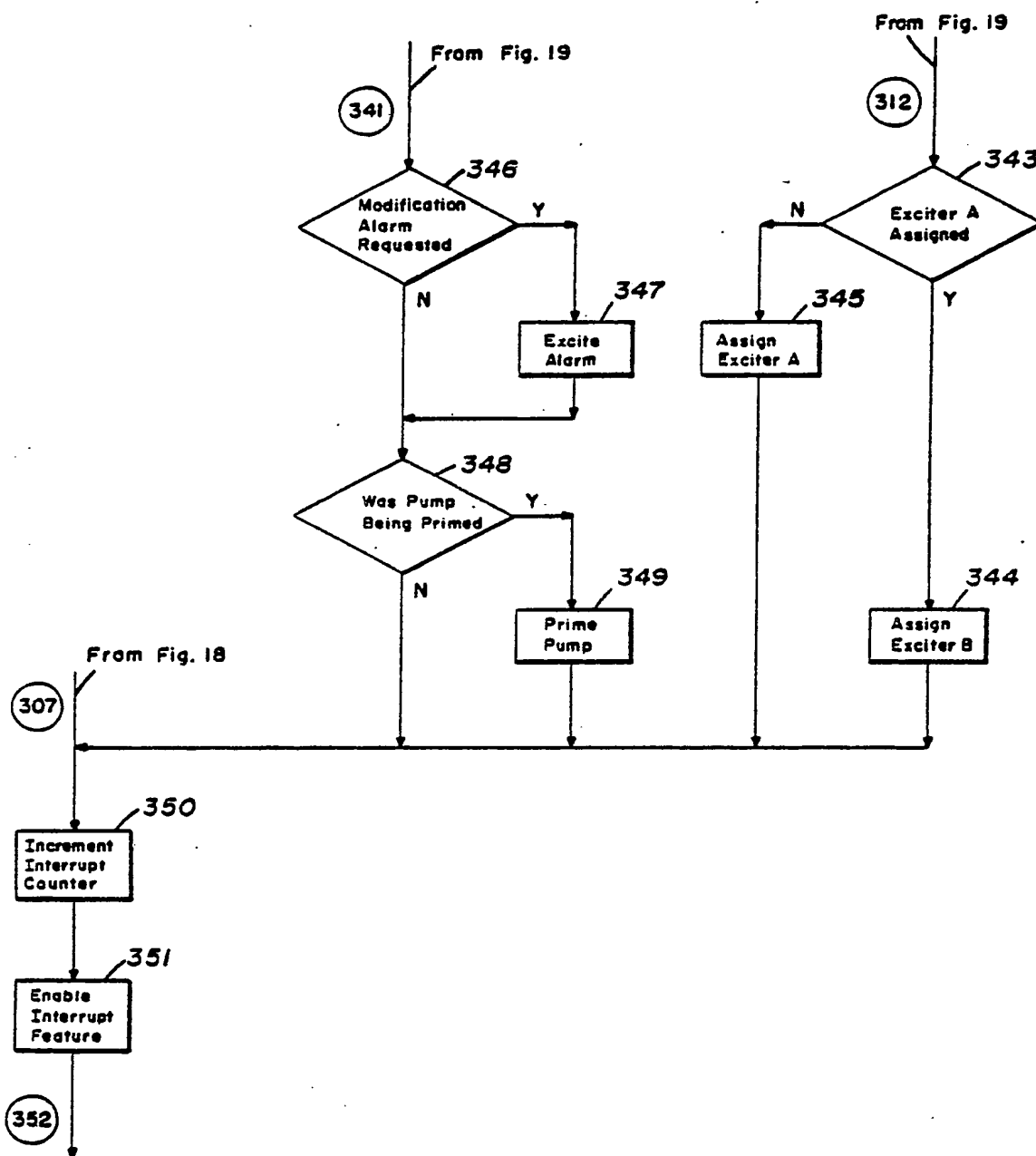


FIG. 20

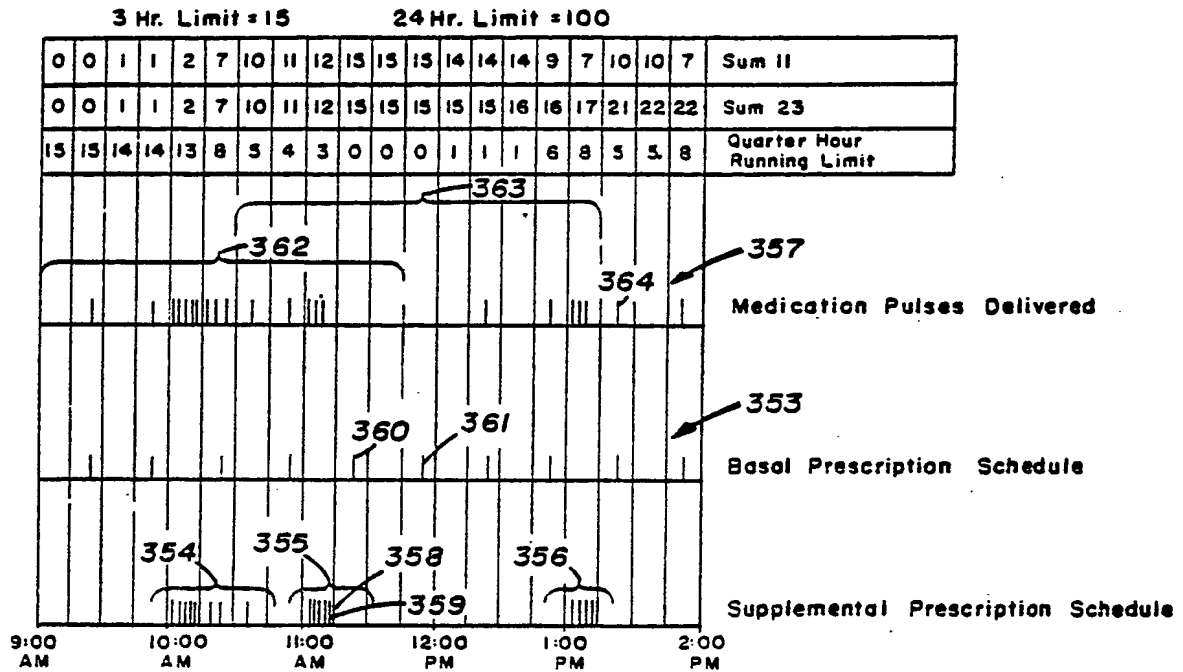


FIG. 21

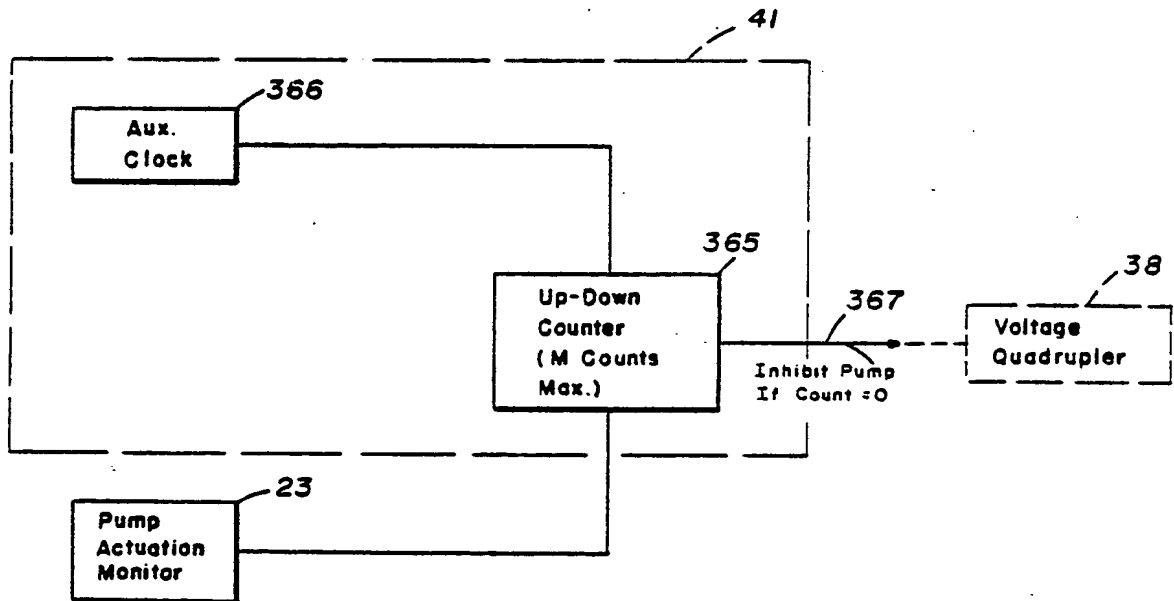
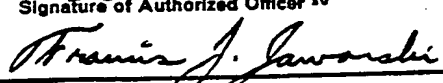


FIG. 22

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US83/01608

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>3</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC <b>INT. Classification:</b> 3A61M 7/00 <b>US. Classification:</b> 604/891, 604/65, 128 dig, 13		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>4</sup>		
Classification System	Classification Symbols	
US Class.	604/49,65,66,67,131,890,891 128/digest 12, digest 13	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>5</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>		
Category <sup>6</sup>	Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>
X	US, A, 4,282,872 11 August 1981 FRANETZKI et al see column 5 lines 25-32	1,10,38,75,80,86
X	US, A, 4,373,527 15 February 1983 FISCHELL	1-118
Y, P	US, A, 4,395,259 26 July 1983 PRESTLE et al (see column 2 lines 55-65, lines 6.8)	1-2,10-13 56,58,59,75,76,86,89-91,101-104, 1-14,17-21,26-31,35, 38,56,60-61,63,65,67-69,73,75-79,86,88-92,101-105,114,189
X	US, A, 4,308,866 05 January 1982 JELLIFFE et al	1-14,17-21,26-31,35, 38,56,60-61,63,65,67-69,73,75-79,86,88-92,101-105,114,189
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>15</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"G" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search <sup>9</sup>		Date of Mailing of this International Search Report <sup>8</sup>
10 January 1984		16 JAN 1984
International Searching Authority <sup>1</sup>		Signature of Authorized Officer <sup>10</sup>
ISA/US		

**THIS PAGE BLANK (USPTO)**